

Embedding oscillatory modes of quarks in baryons in QCD

Looking to construct a bridge

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Abstract

The regularities implied at large distances by complete gauge invariance in QCD were the topic of my lectures in the two initial parts of the tri-annual '50th Birthday Celebrations' of this school . This lecture shall be devoted to oscillatory modes of (valence) u,d,s quarks in baryons (also evident in $q\bar{q}'$ mesons) , based on the representation derived in ref. [1-1980] and extended to the discussion of paired oscillator modes and associated Bogoliubov transformations in ref. [2-2010] . The embedding into the base field dynamics of QCD with three light flavors is laid out as a bridge in its present raw construction hopefully to be completed as a task of the future .

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1 - Introduction

In order to put the main topic of this lecture into perspective let me begin citing ref. [1-1980] , my construction of Poincaré invariant oscillatory modes of three valence quarks (u , d) restricted to the two nonstrange flavors in nonstrange baryons . A small collection of references to previous work in this direction is given there ([1-1980]) . The disappearing of perfectly gauge invariant explicit dependence on color of quark- and gauge boson-fields is by the confined nature of the oscillator wave functions – restricted to the center of mass system relative soace coordinates – relegated to an outer factor

$$(1) \quad \varepsilon_{c_\alpha c_\beta c_\gamma} ; c_{1,2,3} = \text{red , green , blue}$$
$$\alpha, \beta, \gamma = 1, 2, 3 \quad : \quad \text{numbering individual quark positions}$$

The color factor in eq. 1 : $\varepsilon_{c_\alpha c_\beta c_\gamma}$, fully antisymmetric in its three color indices , must be fully gauge invariant with respect to the local $SU3_c$ gauge group and thus reduced from the 3 positions $\vec{x}_{1,2,3}$ to a common space point through parallel transport $q q q$ (triple) QCD string factors .The detailed form of the QCD string factors is discussed in section 2 – premises , for which I cite my previous two Erice lectures in refs. [3-2011] , [4-2012] in order to maintain consistency of notation .

A sketch of the $q \bar{q}$ (bilocal- ior double-)) and $q q q$ (triple-) QCD string factors , also called 'bond structures' , is given in figure 1 - I below →

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P. Minkowski / Oscillatory modes of quarks in baryons

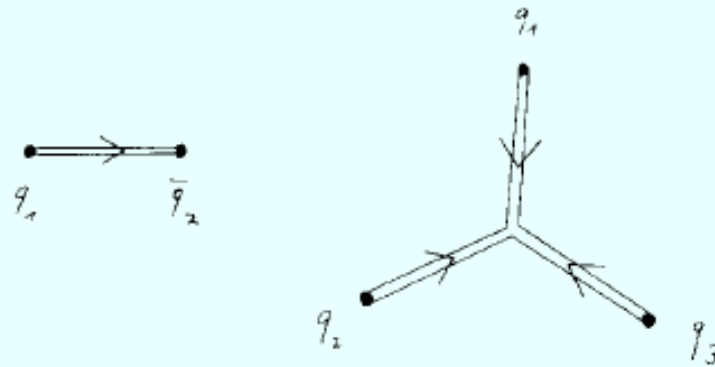


Fig. 1. Bond structures of $q\bar{q}$ and $3q$ configuration ($N = 3$).

Fig. 1 - I : Bond structures of $q\bar{q}$ and $3q$ configurations ($N = 3$) from ref. [1-1980] \longleftrightarrow

The next step revived questions related to oscillatory modes of a pair of independent oscillators and their eventual connection to Bogoliubov transformations in November 2010 in ref. [2-2010] .

Finally on the road leading to the present outline a discussion with the group of Willibald Plessas from the University of Graz during the Oberwölz Symposium 2012 : Quantum Chromodynamics: History and Prospects 516. WE-Heraeus-Seminar , Oberwölz, Styria, Austria. 3. - 8. September 2012 \longrightarrow

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(discussion) arose as to whether the baryon modes of light flavors u , d , s of quarks with low total spin were presently more or less completely accounted for – according to the new PDG-review [5-2011] – contrasting with the situation in 1980 with respect to only u and d flavors .

Looking at the exhaustive tables of ref. [5-2011] *included today* the answer is obviously to the negative , yet these tables were not available in the web-version of the PDG tables [6-2012] upon my last search before the Oberwölz Symposium 2012 .

Out of this situation the challenge took shape to count the oscillatory modes in baryons in their own right in analytic ways , the content of this lecture . The notefile to it assembles the results obtained in ref. [7-2013] to date .



2-1

2-1 – Assembling elements of the QCD Lagrangean density – premises

We face the theoretical abstraction of QCD with $N_{fl} = 6$, representing strong interactions – adaptable to two or three light flavors (u, d, s) of quarks and antiquarks. \leftrightarrow

quarks : color is counted in $\pi^0 \rightarrow \gamma\gamma$ $\left(\begin{array}{l} \text{assuming global color- and} \\ \text{flavor-projections to commute} \end{array} \right)$ yet see ref. [8-2001]

spin and flavor are clearly seen in $q\bar{q}$ and $3q, 3\bar{q}$ spectroscopy $\left(\begin{array}{l} \text{a pre-condition} \\ \text{to count color} \end{array} \right)$.

$$\mathcal{L} = \left[\bar{q}_{\dot{S}' f} \left\{ \begin{array}{l} \frac{i}{2} \overleftrightarrow{\partial}_\mu \delta_{c'\dot{c}} \\ + W_\mu^r \left(\frac{1}{2} \lambda_r \right)_{c'\dot{c}} \end{array} \right\} \gamma_{\dot{S}' S}^\mu q_{S f}^c - m_f \bar{q}_{\dot{S}' f} q_{S f}^c \right]$$

(2)

$$- \frac{1}{4g^2} B^{\mu\nu r} B_{\mu\nu}^r + \Delta \mathcal{L}$$

$W_\mu^r \equiv -v_\mu^r$: for identification of convention for potentials

quarks : $c', c = 1, 2, 3$ color , $f = 1, \dots, 6$ flavor

$S', S = 1, \dots, 4$ spin , m_f mass



2-2

In eq. 2 , the \mathcal{D} associated gauge connection fields – where $\mathcal{D} = \mathcal{D}(\mathcal{G})$ denotes a general , irreducible representation of the local gauge group $\mathcal{G} = SU3_c$ – appear in the form appropriate for quarks : $\mathcal{D} = \{3\}$, and antiquarks : $\mathcal{D} = \{\bar{3}\}$ respectively

$$(3) \quad \begin{aligned} & (\mathcal{W}_\mu(\mathcal{D}))_{\alpha\beta}(x) = W_\mu^r(x) (d_r)_{\alpha\beta} \leftrightarrow \mathcal{W}_\mu(\mathcal{D}) = -\mathcal{W}_\mu(\mathcal{D})^\dagger \\ & d_r = -d_r^\dagger = \frac{1}{i} J_r \in Lie(\mathcal{D}) ; [d_p, d_q] = f_{pqr} d_r \\ & r, p, q = 1, \dots, dim \mathcal{G} ; \alpha, \beta = 1, \dots, dim \mathcal{D} \end{aligned}$$

For $\mathcal{D}(SU3_c) = \{3(\bar{3})\}$ the representation matrices become (the Gell-Mann matrices [9-1964])

$$(4) \quad \begin{aligned} & (d_r(3) = \frac{1}{i} \frac{1}{2} \lambda_r)_{\alpha\beta} ; r = 1, \dots, 8 ; (\alpha, \beta) \leftrightarrow (c', \dot{c}) = 1, \dots, 3 \\ & d_r(\bar{3}) = \bar{d}_r(3) \end{aligned}$$

with the conventional normalization conditions : $-tr d^r d^s = \frac{1}{2} \delta^{rs}$

The quantity proportional to the gauge potentials W_μ^r for the $\bar{q}q$ in eq. 2 is thus identified as

$$(5) \quad \left[W_\mu^r \left(\frac{1}{2} \lambda_r \right)_{c'\dot{c}} = i (\mathcal{W}_\mu(\mathcal{D} = \{3\}))_{c'\dot{c}} \right] (x)$$

Here we postpone the discussion of complete connections and extend the QCD Lagrangean density to include the term quadratic in the field strengths $B_{\mu\nu}^r$ and $\Delta \mathcal{L}$ in eq. 2, in Fermi gauges. →

2-3

gauge bosons : $\mathcal{L}_B = -\frac{1}{4g^2} B^{\mu\nu r} B_{\mu\nu}^r$

$$B_{\mu\nu}^r = \partial_\mu W_\nu^r - \partial_\nu W_\mu^r + f_{rst} W_\mu^s W_\nu^t \leftarrow (W_\mu^r \equiv -v_\mu^r)$$

$$r, s, t = 1, \dots, \dim(\mathcal{G} = SU3_c) = 8$$

(6)

Lie algebra labels, $[\frac{1}{2} \lambda^r, \frac{1}{2} \lambda^s] = i f_{rst} \frac{1}{2} \lambda^t$

perturbative rescaling :

$$W_\mu^r = g W_{\mu \text{ pert}}^r, \quad B_{\mu\nu}^r = g B_{\mu\nu \text{ pert}}^r$$

Degrees of freedom are seen in jets , in (e.g.) the energy momentum sum rule in deep inelastic scattering but not clearly in spectroscopy.

Completing $\Delta \mathcal{L}$ in Fermi gauges

$$\Delta \mathcal{L} = \left\{ \begin{array}{l} -\frac{1}{2\eta g^2} (\partial_\mu W^{\mu r})^2 \\ + \partial^\mu \bar{c}^r (D_\mu c)^r \end{array} \right\} ; \quad \eta : \text{gauge parameter}$$

(7)

ghost fermion fields : $c, \bar{c} ; (D_\mu c)^r = \partial_\mu c^r + f_{rst} W_\mu^s c^t$

gauge fixing constraint : $C^r = \partial_\mu W^{\mu r}$



2-2 – Gauge boson binary bilocal and adjoint (here octet-) string operators

One goal is, to identify – not just some candidate resonance – gluonic mesons, binary and higher modes, and to relate them to the base quantities within QCD . Here we follow ref. [3-2011] .

$$(8) \quad \begin{aligned} B_{[\mu_1 \nu_1], [\mu_2 \nu_2]}(x_1, x_2) &= \\ &= B_{[\mu_1 \nu_1]}^r(x_1) U(x_1, r; x_2, s) B_{[\mu_2 \nu_2]}^s(x_2) \end{aligned}$$

$r, s, \dots = 1, \dots, 8$; **no flavor but spin**

$B_{[\mu \nu]}^r(x)$ denote the local color octet of field strengths.

The quantity $U(x, r; y, s)$ in eq. (8) denotes the octet string operator, i. e. the path ordered exponential over a straight line path \mathcal{C} from y to x

$$(9) \quad \begin{aligned} U(x, r; y, s) &= P \exp \left(\int_y^x \Big|_{\mathcal{C}} dz^\mu \frac{1}{i} v_\mu^t(z) \mathcal{F}_t \right) \Big|_{rs} \\ &= P \exp \left(- \int_y^x \Big|_{\mathcal{C}} dz^\mu W_\mu^t(z) \left(\frac{1}{i} \mathcal{F}_t \right) \right) \Big|_{rs} \end{aligned}$$

$$(\mathcal{F}_t)_{rs} = i f_{rts} ; (ad_t)_{rs} = \frac{1}{i} (\mathcal{F}_t)_{rs} = f_{rts}$$

The path ordered exponential as a matrix function of the argument is to be performed before the matrix elements, denoted $\Big|_{..}$ in eq. 9 , are taken. The local limit becomes →

$$(10) \quad B_{[\mu_1 \nu_1], [\mu_2 \nu_2]}(x_1 = x_2 = x) = (:) B_{[\mu_1 \nu_1]}^r(x) B_{[\mu_2 \nu_2]}^r(x) (:)$$

no flavor but spin

2-3 – $\bar{q} q$ bilinears and triplet-string operators

$$B_{[\mathcal{A} f_1, \mathcal{B} f_2]}^q(x_1, x_2) = \bar{q}_{\mathcal{B} f_2}^{\dot{c}_1}(x_1) U(x_1, c_1; x_2, \dot{c}_2) q_{\mathcal{A} f_1}^c(x_2)$$

flavor and spin

$$(11) \quad U(x, c_1; y, \dot{c}_2) = P \exp \left(\int_y^x \Big|_c d z^\mu \frac{1}{i} v_\mu^t(z) \frac{1}{2} \lambda_t \right) \Big|_{c_1 \dot{c}_2}$$

$$= P \exp \left(- \int_y^x \Big|_c d z^\mu W_\mu^t(z) \left(\frac{1}{i} \frac{1}{2} \lambda_t \right) \right) \Big|_{c_1 \dot{c}_2}$$

with the local limit

$$(12) \quad B_{[\dot{\mathcal{B}} f_2, \mathcal{A} f_1]}^q(x_1 = x_2 = x) = (:) \bar{q}_{\dot{\mathcal{B}} f_2}^{\dot{c}}(x) q_{\mathcal{A} f_1}^c(x) (:)$$

The symbols $(:)$ in eqs. 10 and 12 should indicate that normal ordering of regulating the local limits is required and further that such normal ordering is *not* unique, and dependent on quark masses in the case of the $\bar{q} q$ bilinears.



**2-4 – Connection and curvature - forms
preparing the ensuing analysis of regularity conditions**

Lets begin this (sub-)section rewriting the bilocal (formally) unitary operators forming the gauge connection dependent octet- (eq. 9) and triplet (eq. 11) QCD strings , substituting an equivalent , matrix oriented notation

$$\text{octet string} : U(x, r ; y, s) = P \exp \left(- \int_y^x \Big|_c dz^\mu W_\mu^t(z) \left(\frac{1}{i} \mathcal{F}_t \right) \right) \Big|_{rs}$$

$$\text{triplet string} : U(x, c_1 ; y, \dot{c}_2) = P \exp \left(- \int_y^x \Big|_c dz^\mu W_\mu^t(z) \left(\frac{1}{i} \frac{1}{2} \lambda_t \right) \right) \Big|_{c_1 \dot{c}_2}$$

with the substitutions \longrightarrow

$$\left. \begin{array}{l} \text{octet string} : U(x, r ; y, s) \rightarrow \left(U \left(x \xleftarrow{C} y \right) \right)_{rs} \\ \text{triplet string} : U(x, c_1 ; y, \dot{c}_2) \rightarrow \left(U \left(x \xleftarrow{C} y \right) \right)_{c_1 \dot{c}_2} \end{array} \right\} \rightarrow \left(U(x, C, y ; \mathcal{D}) \right)_{\alpha\beta} \in \mathcal{D}(\mathcal{G})$$

with $\mathcal{G} =$ simple compact gauge group ; $\mathcal{D} :$ general irreducible representation of \mathcal{G}

(13)

Here $\mathcal{G} = SU3_c$ and \mathcal{D} is the octet- , triplet representation for the respective QCD \mathcal{D} - strings. \longrightarrow

Further let us consider matrix valued connection 1-forms , which define the bilocal matrix valued operators $(U(x, C, y; \mathcal{D}))_{\alpha\beta} \in \mathcal{D}(\mathcal{G})$ as given in eq. 13 . To this end the form of octet and triplet strings in eq. 13 is repeated below

$$\begin{aligned} \text{octet string} : U(x, r ; y, s) &= P \exp \left(- \int_y^x \Big|_c dz^\mu W_\mu^t(z) \left(\frac{1}{i} \mathcal{F}_t \right) \right) \Big|_{rs} \\ \text{triplet string} : U(x, c_1 ; y, \dot{c}_2) &= P \exp \left(- \int_y^x \Big|_c dz^\mu W_\mu^t(z) \left(\frac{1}{i} \frac{1}{2} \lambda_t \right) \right) \Big|_{c_1 \dot{c}_2} \end{aligned}$$

(14)

The two matrices in brackets to the right of the integrand expressions in eq. 14 form an antihermitian basis of the Lie algebra representation $Lie(\mathcal{D})$ for $\mathcal{D} = \text{adjoint}$ and $\mathcal{D} = \text{triplet}$ representations of $\mathcal{G} = SU3_c$ respectively

$$(15) \quad d_t \equiv d_t(\mathcal{D}) \leftrightarrow (d_t)_{\alpha\beta} = \begin{cases} \left(\frac{1}{i} \mathcal{F}_t \right)_{rs} & \text{for } Lie(\mathcal{D}) = \text{adjoint} \\ \left(\frac{1}{i} \frac{1}{2} \lambda_t \right)_{c_1 \dot{c}_2} & \text{for } Lie(\mathcal{D}) = \text{triplet} \end{cases}$$

$$d_t = -d_t^\dagger ; \quad t = 1, \dots, \dim \mathcal{G} ; \quad \alpha, \beta = 1, \dots, \dim \mathcal{D} \quad \text{for general } \mathcal{D}$$



From eqs. 14 and 15 we construct a – hopefully – consistent notation as appropriate for matrix valued \mathcal{D} connections, 1-forms and strings, as well as derived 2- and higher forms. First eq. 15 is subject to the (matrix-) commutation relations

$$[d_r, d_s] = f_{rst} d_t ; \quad \forall \mathcal{D}(\mathcal{G}) ; \quad r, s, t = 1, \dots, \dim \mathcal{G} \quad \longrightarrow$$

(16) $(d_t(\mathcal{D} = \text{adjoint representation}))_{sr} = (ad_t)_{sr} = f_{str} : \text{ independent of } \mathcal{D}$

$f_{str} : \text{ totally antisymmetric, real structure constants of } Lie(\mathcal{G})$

In physics the antihermitian matrix code with respect to the representations $Lie(\mathcal{D})$ is (mostly) replaced by the hermitian one ^a

$$(17) \quad (d_t \equiv \frac{1}{i} h_t) (Lie(\mathcal{D}))|_{\alpha\beta} ; \quad h_t = h_t^\dagger ; \quad [h_r, h_s] = i f_{rst} h_t$$

$\alpha, \beta = 1, \dots, \dim \mathcal{D}$

Eq. 16 serves to define →

^a A (partial) collection of historical and textbook references to the topics pertaining to 'Continuous transformation groups and differential geometry' is presented aunder R-H R-H references and labelled by the symbols 1H , 2H ··· .

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matrix valued connections built from a basis of $Lie(\mathcal{D})$ representation matrices as defined in eq. 16 for general irreducible representations $\mathcal{D}(\mathcal{G})$ denoted $\mathcal{W}_\mu(z, \mathcal{D})$

$$(18) \quad \mathcal{W}_\mu(z, \mathcal{D})|_{\alpha\beta} = W_\mu^r(z) (d_r)_{\alpha\beta} Lie(\mathcal{D}) \quad ; \quad \left[\begin{array}{l} r = 1, \dots, dim(\mathcal{G}) \\ \alpha, \beta = 1, \dots, dim(\mathcal{D}) \end{array} \right]$$

$$\mathcal{W}_\mu(z, \mathcal{D})|_{\alpha\beta} \longrightarrow \mathcal{W}_\mu \quad \text{for compact matrix notation}$$

In the following it is to be understood, that \mathcal{W}_μ is extended to a general collection of representations $\bigcup \mathcal{D}$ – thought to be carried by real and spurious spin $\frac{1}{2}$ fields – care being taken that asymptotic freedom in the ultraviolet is not upset.

From eq. 18 we define the associated matrix valued connection 1-form displayed alongside the base definition repeated from eq. 18 in eq. 19 below

$$(19) \quad \begin{aligned} \mathcal{W}^{(1)}(z, \mathcal{D})|_{\alpha\beta} &= dz^\mu \mathcal{W}_\mu(z, \mathcal{D})|_{\alpha\beta} \longrightarrow \mathcal{W}^{(1)} \\ \mathcal{W}_\mu(z, \mathcal{D})|_{\alpha\beta} &= W_\mu^r(z) (d_r)_{\alpha\beta} Lie(\mathcal{D}) \longrightarrow \mathcal{W}_\mu \end{aligned}$$

and the matrix valued field-strength tensor

$$(20) \quad \mathcal{W}_{\mu\nu}(z, \mathcal{D})|_{\alpha\beta} = \left\{ \begin{array}{l} \partial_\mu \mathcal{W}_\nu(z, \mathcal{D}) - \partial_\nu \mathcal{W}_\mu(z, \mathcal{D}) + \\ + [\mathcal{W}_\mu(z, \mathcal{D}), \mathcal{W}_\nu(z, \mathcal{D})] \end{array} \right\}_{\alpha\beta} \longrightarrow \mathcal{W}_{\mu\nu} \longrightarrow$$

2-10

together with their associated curvature 2-form

$$\begin{aligned}
 \mathcal{W}^{(2)}(z, \mathcal{D})|_{\alpha\beta} &= \frac{1}{2} dz^\mu \wedge dz^\nu \mathcal{W}_{\mu\nu}(z, \mathcal{D})|_{\alpha\beta} \longrightarrow \mathcal{W}^{(2)} \\
 \mathcal{W}_{\mu\nu}(z, \mathcal{D})|_{\alpha\beta} &= \left\{ \begin{aligned} &\partial_\mu \mathcal{W}_\nu(z, \mathcal{D}) - \partial_\nu \mathcal{W}_\mu(z, \mathcal{D}) + \\ &+ [\mathcal{W}_\mu(z, \mathcal{D}), \mathcal{W}_\nu(z, \mathcal{D})] \end{aligned} \right\}_{\alpha\beta} \longrightarrow \mathcal{W}_{\mu\nu} \\
 &= W_{\mu\nu}^r(z) (d_r)_{\alpha\beta} Lie(\mathcal{D})
 \end{aligned}$$

$$W_{\mu\nu}^r = \partial_\mu W_\nu^r - \partial_\nu W_\mu^r + f_{rst} W_\mu^s W_\nu^t ; \text{ independent of } \mathcal{D}$$

(21)

Two remarks are in place here

1) In order to distinguish field strength from potentials (connections) the following equivalent but different notations for the field strength shall be used

$$(22) \quad \mathcal{W}_{\mu\nu} \equiv \mathcal{B}_{\mu\nu} ; \mathcal{W}^{(2)} \equiv \mathcal{B}^{(2)} ; W_{\mu\nu}^r \equiv B_{\mu\nu}^r$$

2) From the last relation in eq. 21 it may appear redundant to extend connections and curvatures to matrix valued form with respect to a wide collection of irreducible representations $\mathcal{D}(\mathcal{G})$. This however is tantamount to neglecting nontrivial global regularity conditions in the infrared .



We end this subsection (2-4) displaying the bilocal (parallel transport-) operators defined in eq. 13 using the shorthand notation in eq. 21

$$\begin{aligned}
 (23) \quad (U(x, C, y; \mathcal{D}))_{\alpha\beta} &= P \exp \left(- \int_y^x \Big|_C \mathcal{W}^{(1)}(z, \mathcal{D}) \right) \Big|_{\alpha\beta} \\
 &\quad \downarrow \qquad \qquad \qquad \downarrow \\
 U(x, C, y) &= P \exp \left(- \int_y^x \Big|_C \mathcal{W}^{(1)} \right) \quad [\text{for } (U \mathcal{D})]
 \end{aligned}$$



2-5 – The U1- or singlet axial current anomaly

The U1-axial central anomaly involves the local chiral current projections from $B_{[\dot{\mathcal{B}} f_2, \mathcal{A} f_1]}^q(x)$ in eq. 12

$$(24) \quad \begin{aligned} \left(j_{\mu}^{\pm} \right)_{f_2 f_1}(x) &= B_{[\dot{\mathcal{B}} f_2, \mathcal{A} f_1]}^q(x) \left(\gamma_{\mu} \frac{1}{2} (\not{1} \pm \gamma_5) \right)_{\mathcal{B} \mathcal{A}} \\ &= (:) \bar{q}_{f_2}^{\dot{c}} \gamma_{\mu}^{\pm} q_{f_1}^c (:) \end{aligned}$$

$$\gamma_5 = \gamma_5 R = \frac{1}{i} \gamma_0 \gamma_1 \gamma_2 \gamma_3 ; \quad \gamma_{\mu}^{\pm} = \gamma_{\mu} \frac{1}{2} (\not{1} \pm \gamma_5)$$

The equations of motion for the fermion fields are and superficially imply (upon $f_1 \leftrightarrow f_2$)

$$(25) \quad \begin{aligned} \not{\partial} q_{f_2}^c &= \frac{1}{i} \left(\not{\psi}^{c \dot{c}'} + \delta^{c \dot{c}'} m_{f_2} \right) q_{f_2}^{\dot{c}'} ; \quad \text{no sums over } f_1, f_2 \rightarrow \\ \bar{q}_{f_1}^{\dot{c}} \overleftarrow{\not{\partial}} &= \bar{q}_{f_1}^{\dot{c}'} \frac{1}{i} \left(-\not{\psi}^{c' \dot{c}} - \delta^{c' \dot{c}} m_{f_1} \right) \\ \partial^{\mu} \left(j_{\mu}^{\pm} \right)_{f_1 f_2} &= \frac{1}{2i} \left((m_{f_2} - m_{f_1}) S_{f_1 f_2} \mp (m_{f_2} + m_{f_1}) P_{f_1 f_2} \right) \\ S_{f_1 f_2} &= (:) \bar{q}_{f_1}^{\dot{c}} q_{f_2}^c (:) , \quad P_{f_1 f_2} = (:) \bar{q}_{f_1}^{\dot{c}} \gamma_5 q_{f_2}^c (:) \end{aligned}$$

In eq. 25 m_f denotes the real, nonnegative quark mass for flavor f. →

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From eq. 25 the relations for vector and axial vector currents *superficially* follow

$$\begin{aligned}
 (j_\mu)_{f_1 f_2} &= (j_\mu^+)_{f_1 f_2} + (j_\mu^-)_{f_1 f_2} \\
 (j_\mu^5)_{f_1 f_2} &= (j_\mu^+)_{f_1 f_2} - (j_\mu^-)_{f_1 f_2} \\
 \partial^\mu (j_\mu)_{f_1 f_2} &= \frac{1}{i} (m_{f_2} - m_{f_1}) S_{f_1 f_2} \\
 \partial^\mu (j_\mu^5)_{f_1 f_2} &= (m_{f_2} + m_{f_1}) i P_{f_1 f_2}
 \end{aligned}
 \tag{26}$$

As it follows from the original derivation by Adler and Bell and Jackiw [10-1969] in QED, the vector current Ward identities in eq. 26 can be implemented also in QCD, leaving the axial current ones reduced to the flavor non-singlet case, leaving the U1 axial current divergent anomalous

$$\partial^\mu (j_\mu)_{f_1 f_2} = \frac{1}{i} (m_{f_2} - m_{f_1}) S_{f_1 f_2} \quad \checkmark$$

$$\left\{ \begin{array}{c} j_\mu^5 \\ P \end{array} \right\}_{f_1 f_2}^{NS} = \left\{ \begin{array}{c} j_\mu^5 \\ P \end{array} \right\}_{f_1 f_2} - \frac{1}{N_{fl}} \delta_{f_1 f_2} \sum_f \left\{ \begin{array}{c} j_\mu^5 \\ P \end{array} \right\}_{f f}$$



and similarly

$$(28) \quad \left\{ \begin{array}{c} j_{\mu}^5 \\ P \end{array} \right\}_{f_1 f_2}^S = \sum_f \left\{ \begin{array}{c} j_{\mu}^5 \\ P \end{array} \right\}_{f f}$$

2-6 – Quark masses and splittings : m_f and $\Delta m_f = m_f - \langle m \rangle$

In the subtitle above $\langle m \rangle$ stands for the mean quark mass

$$(29) \quad \langle m \rangle = \frac{1}{N_{fl}} \sum_f m_f$$

The identities for vector currents in eqs. 26 and 27 can be extended separating the contributions proportional to Δm_f and $\langle m \rangle$

$$(30) \quad \begin{aligned} \partial^{\mu} (j_{\mu})_{f_1 f_2} &= \frac{1}{i} (\Delta m_{f_2} - \Delta m_{f_1}) S_{f_1 f_2} \quad \checkmark \\ \partial^{\mu} (j_{\mu}^5)_{f_1 f_2}^{NS} &= (\Delta m_{f_2} + \Delta m_{f_1}) i P_{f_1 f_2}^{NS} \quad \checkmark \\ \partial^{\mu} (j_{\mu}^5)_{f_1 f_2}^S &= 2 \langle m \rangle i P^S \quad \checkmark \quad [\longrightarrow + \delta_5] \end{aligned}$$

$$a \quad \delta_5 = (2 N_{fl}) \frac{1}{32\pi^2} B_{\mu\nu}^r \tilde{B}^{\mu\nu r} \Big|_{\rightarrow ren.gr.inv} ; \quad \tilde{B}_{\mu\nu}^r = \frac{1}{2} \varepsilon_{\mu\nu\sigma\tau} B^{\sigma\tau r} \quad \longrightarrow$$

^a δ_5 was – as far as I know – introduced by Murray Gell-Mann in lectures \sim 1970 in Hawaii .

We shall return to the question of how the local operator $ch_2(B) \equiv \frac{1}{32\pi^2} (:) B_{\mu\nu}^r \tilde{B}^{\mu\nu r} (:)$ is to be normalized and rendered renormalization group invariant [11-1991]. Here we just assume this to have been achieved and denote the U1-axial anomaly, the first of the central two, in its general form (eq. 30)

$$(31) \quad \left\{ \partial^\mu (j_\mu^5)^S = 2 \langle m \rangle i P^S + \delta_5 \right\} (x)$$

$$\delta_5 = (2 N_{fl}) \frac{1}{32\pi^2} (:) B_{\mu\nu}^r \tilde{B}^{\mu\nu r} (:) \Big|_{\rightarrow ren.gr.inv}$$

From here it is conceptually clear how the scale- (or trace-) anomaly arises but strictly within QCD. The renormalizability of a field theory in the limit of uncurved space-time gives rise to a local, symmetric and conserved energy momentum tensor, implying exact Poincaré invariance

$$(32) \quad \{ \vartheta_{\mu\nu} = \vartheta_{\nu\mu} \} (x)$$

$$\partial^\nu \vartheta_{\mu\nu} = 0$$

In connection with the normal ordering questions it is important to admit in the precise form of the energy momentum tensor a nontrivial vacuum expected value, which →

in view of exact Poincaré invariance must be of the form

$$(33) \quad \langle \Omega | \vartheta_{\mu\nu}(x) | \Omega \rangle = \frac{1}{4} \eta_{\mu\nu} \tau$$

$$\left\{ \begin{array}{l} \eta_{\mu\nu} = \text{diag}(1, -1, -1, -1) \\ \tau \end{array} \right\} \text{ independent of } x \longrightarrow$$

$$\Delta \vartheta_{\mu\nu}(x) = \vartheta_{\mu\nu}(x) - \langle \Omega | \vartheta_{\mu\nu}(x) | \Omega \rangle \times \left\{ \begin{array}{l} \hat{1} \\ \text{or } |\Omega\rangle \langle \Omega| \end{array} \right.$$

$$\text{with } \partial^\nu \Delta \vartheta_{\mu\nu}(x) = 0 ; \quad \langle \Omega | \Delta \vartheta_{\mu\nu}(x) | \Omega \rangle = 0$$

In eq. 33 $\hat{1}$ denotes the unit operator in the entire Hilbert space of states, while $P_\Omega = |\Omega\rangle \langle \Omega|$ stands for the projector on the ground state.

Furthermore from the two local, conserved tensors in eq. 33 only $\Delta \vartheta_{\mu\nu}(x)$ with vanishing vacuum expected value is acceptable as representing the conserved 4 momentum operators in the integral form

$$(34) \quad \hat{P}_\mu = \int_t d^3x \Delta \vartheta_{\mu 0}(t, \vec{x})$$

All these arguments *notwithstanding* to subtract any eventual vacuum expected values of local operators , often put forward as mathematical prerequisites , it is wise *not to do so* in the presence of spontaneous parameters , the dynamical origin of spontaneous symmetry breaking, e.g. chiral symmetries in the limit or neighbourhood of some $m_f \rightarrow 0$.

Using the (classical) equations of motion pertaining to the Lagrangean in eqs. 2 - 7

$$\begin{aligned}
 (D_\nu B^{\mu\nu})^r &= j^{\mu r}(\bar{q}, q) ; B \rightarrow B_{pert} \\
 (D_\rho B^{\mu\nu})^r &= \partial_\rho B^{\mu\nu r} + f_{rst} W_\rho^s B^{\mu\nu t} \\
 j_\mu^r(\bar{q}, q) &= g \bar{q}_{\dot{A}f} (\gamma_\mu)_{\dot{A}B} \frac{1}{2} (\lambda^r)_{cc'} q_{\dot{A}f}^{c'} \\
 i (\gamma^\mu D_\mu q)_{\dot{A}f}^c &= m_f q_{\dot{A}f}^c \text{ and } q \rightarrow \bar{q} \\
 (D_\mu q)_{\dot{A}f}^c &= \left[\partial_\mu \delta_{cc'} + \frac{1}{i} W_\mu^t \frac{1}{2} (\lambda^t)_{cc'} \right] q_{\dot{A}f}^{c'}
 \end{aligned}$$

(35)

$$W_\mu^r \equiv -v_\mu^r = g (W_\mu^r)_{pert} \equiv -g (v_\mu^r)_{pert}$$

the associated form of the energy momentum becomes

→

$$(36) \quad \vartheta_{\mu\nu}^{(cl)} = \left[\frac{1}{4g^2} \left[B_{\mu\rho}^t B^{\rho t}_{\nu} - \frac{1}{4} \eta_{\mu\nu} B_{\sigma\rho}^t B^{\rho\sigma t} \right] + \right. \\ \left. + \frac{1}{2} \left[\bar{q}_f \gamma_{\mu} \frac{i}{2} \vec{D}_{\nu} q_f + \mu \leftrightarrow \nu \right] \right]$$

and using once more the fermion part of the equations of motion the trace of the classical energy momentum tensor becomes

$$(37) \quad \vartheta^{\mu}_{\mu}^{(cl)} = \sum_f m_f S_{f f} \\ S_{f_1 f_2} = (:) \bar{q}_{f_1} \dot{c}_{f_1} q_{f_2}^c (:)$$

2-7 – The scale- or trace- anomaly

From the classical soft fermionic contribution to the trace of the energy momentum tensor there is a clear conjecture, also by Murray Gell-Mann, of the anomalous contribution, which subsequently became the scale- or trace- anomaly within QCD

$$(38) \quad \vartheta^{\mu}_{\mu} = \sum_f m_f S_{f f} + \delta_0 \\ \delta_0 = - \left(-2 \beta(g) / g^3 \right) \left[\frac{1}{4} (:) B_{\mu\nu}^t B^{\mu\nu t} (:) \right] \rightarrow ren.gr.inv$$



2-8 – The two central anomalies alongside : scale- or trace- and U1-axial anomaly

We collect the two anomalous identities in eqs. 38 and 20

$$\begin{aligned}
 & \left\{ \vartheta^\mu{}_\mu = \sum_f m_f S_{ff} + \delta_0 \right\} (x) \\
 & \left\{ \partial^\mu (j_\mu^5)^S = 2 \langle m \rangle i P^S + \delta_5 \right\} (x) \\
 (39) \quad & \delta_0 = - \left(-2 \beta(g) / g^3 \right) \left[\frac{1}{4} (: B_{\mu\nu}^t B^{\mu\nu t} :) \right]_{\rightarrow ren.gr.inv} \\
 & \delta_5 = (2 N_{fl}) \frac{1}{8\pi^2} \left[\frac{1}{4} (: B_{\mu\nu}^t \tilde{B}^{\mu\nu t} :) \right]_{\rightarrow ren.gr.inv}
 \end{aligned}$$

$$-\beta/g^3 = \frac{1}{16\pi^2} b_0 + O(X) ; \quad X = g^2 / (16\pi^2)$$

$\beta(g)$: Callan-Symanzik rescaling function in QCD

The qualification 'central' for the anomalies in eq. 39 stands for the property that in rendering the square coupling constant and the associated ϑ – parameter in the gauge boson *renormalized* Lagrangean density x dependent

$$\begin{aligned}
 (40) \quad \mathcal{L}_{g.b.} &= -\frac{1}{g^2} \frac{1}{4} (: B_{\mu\nu}^t B^{\mu\nu t} :) + \vartheta \frac{1}{8\pi^2} \frac{1}{4} (: B_{\mu\nu}^t \tilde{B}^{\mu\nu t} :) \longrightarrow \\
 g^2 &\rightarrow g^2(x) ; \quad \vartheta \rightarrow \vartheta(x)
 \end{aligned}$$

maintains perturbative renormalizability and acts together with suitable boundary- – more generally – regularity conditions →

as external sources for the scalar and pseudoscalar local field strength bilinears

$$(41) \quad \frac{1}{4} (:) B_{\mu\nu}^t B^{\mu\nu t} (:), \quad \frac{1}{4} (:) B_{\mu\nu}^t \tilde{B}^{\mu\nu t}$$

We will use the following definitions relative to the rescaling function β

$$-\beta/g = X B(X) ; \quad B(X) = b_0 A(X)$$

$$B(X) \sim \sum_{n=0}^{\infty} b_n X^n, \quad A(X) \sim \sum_{n=0}^{\infty} a_n X^n$$

$$\kappa = g^2 / (16\pi^2) \quad \text{generic} \quad \longrightarrow \quad X, Y$$

$$(42) \quad b_0 = \frac{1}{3} (33 - 2N_{fl}), \quad a_0 = 1, \quad a_n = b_n / b_0$$

$$b_1 = \frac{2}{3} (153 - 19N_{fl})$$

$$b_2 = \frac{1}{54} (77139 - 15099N_{fl} + 325N_{fl}^2)$$

$$b_3 \sim 29243 - 6946.3N_{fl} + 405.089N_{fl}^2 + 1.49931N_{fl}^3$$

References in conjunction with this section (2 - premises) are presented in five (partial) collections :

1 : (R) directly related to the two central anomalies

2 : (rBsquare) establishing the one renormalization group invariant quantity of dimension $[M^4]$

3 : (r-sp-1) a recent paper by Guido Altarelli and references cited therein

4 : (r-A2x) a selection of papers and textbooks for the entire realm of QCD

5 : (r-condx) : Condensation phenomena and field theory realizations



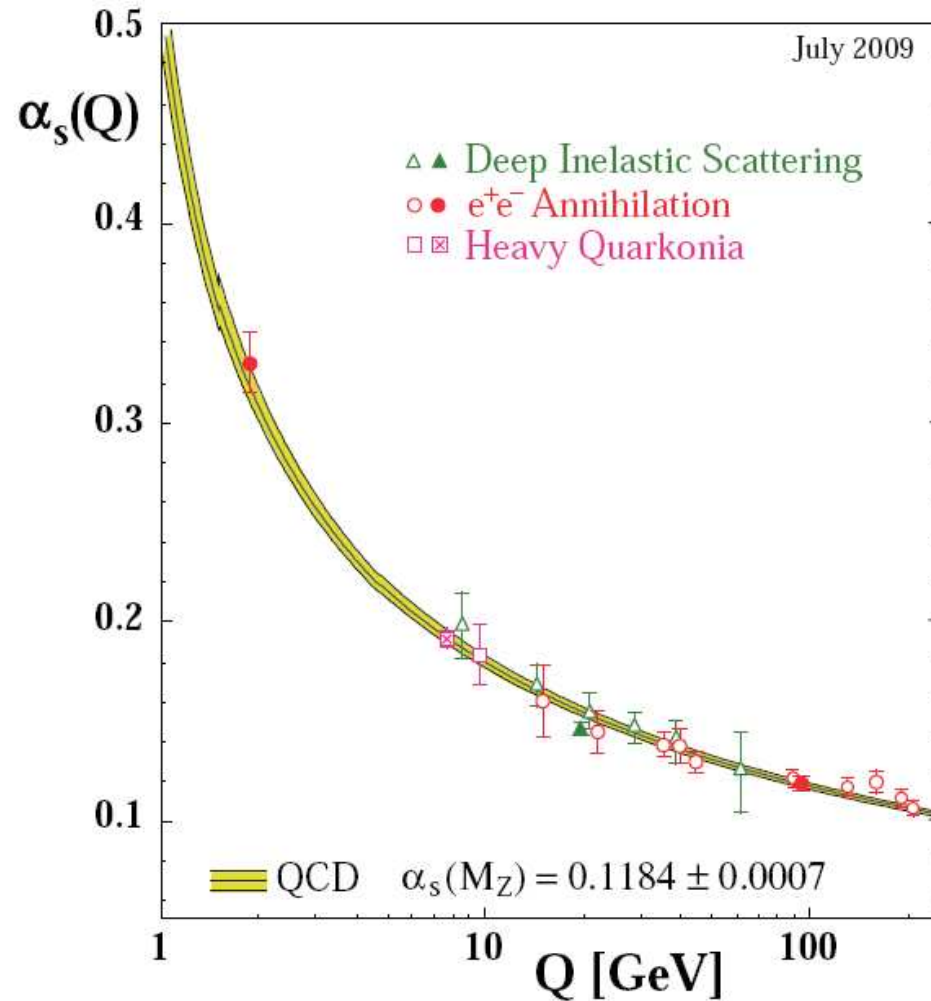


Fig. A21 : $\alpha_s(Q) = 4\pi \kappa_{\bar{\mu}} = Q$ from ref. [12-2009].

This ends section 2 – premises



3-1

3-1 – Perturbative renormalization rescaling

We begin with the renormalization of external operators and gauge boson fields, denoting unrenormalized fields and operators by the suffix $^{(0)}$

$$\begin{aligned}
 (43) \quad & J_\alpha = (Z_J)^{-1} J_\alpha^{(0)} \quad , \quad \mathcal{O} = (Z_{\mathcal{O}})^{-1} \mathcal{O}^{(0)} \\
 & g = (Z_3)^{3/2} (Z_1)^{-1} g^{(0)} \quad , \quad \eta = (Z_3)^{-1} \eta^{(0)} \\
 & W_{\mu\text{pert}}^r = (Z_3)^{-\frac{1}{2}} \left(W_{\mu\text{pert}}^r \right)^{(0)}
 \end{aligned}$$

and continue with the ghost fields c^r , \bar{c}^s as defined in $\Delta \mathcal{L}$ in eq. 7

$$\begin{aligned}
 (44) \quad & c^r = \left(\tilde{Z}_2 \right)^{-\frac{1}{2}} (c^r)^{(0)} \quad , \quad \bar{c}^r = \left(\tilde{Z}_2 \right)^{-\frac{1}{2}} (\bar{c}^r)^{(0)} \\
 & g = (Z_3)^{1/2} \tilde{Z}_2 \left(\tilde{Z}_1 \right)^{-1} g^{(0)}
 \end{aligned}$$

The renormalization constant $Z_1 = Z_{1(3W)}$ refers to the 3 gauge boson vertex of the derivative type $W W \partial W$ as shown in figure 1, while $\tilde{Z}_1 = \tilde{Z}_1(\bar{c} c W)$ refers to the ghost-W vertex, shown in figure 2. The 4 gauge boson vertex involves the analogous renormalization constant $Z_{1(4W)}$ and the $\bar{q} q W$ vertex $Z_{1(\bar{q} q W)}$, shown in figures 3 and 4 respectively below . →

4 irreducible vertex diagrams

W-3 : (i)

$$g f_{a_1 a_2 a_3} \frac{1}{i} \left\{ \begin{aligned} & (p_3 - p_1) \mu_2 \eta_{\mu_1 \mu_3} \\ & + (p_2 - p_3) \mu_1 \eta_{\mu_2 \mu_3} \\ & + (p_1 - p_2) \mu_3 \eta_{\mu_1 \mu_2} \end{aligned} \right\}$$

$p_2 \ a_2 \ \mu_2$
 $p_1 \ a_1 \ \mu_1$
 $p_3 \ a_3 \ \mu_3$

Fig. 1 : $Z_1 \leftrightarrow$ W-3 vertex of the type $W W \partial W$



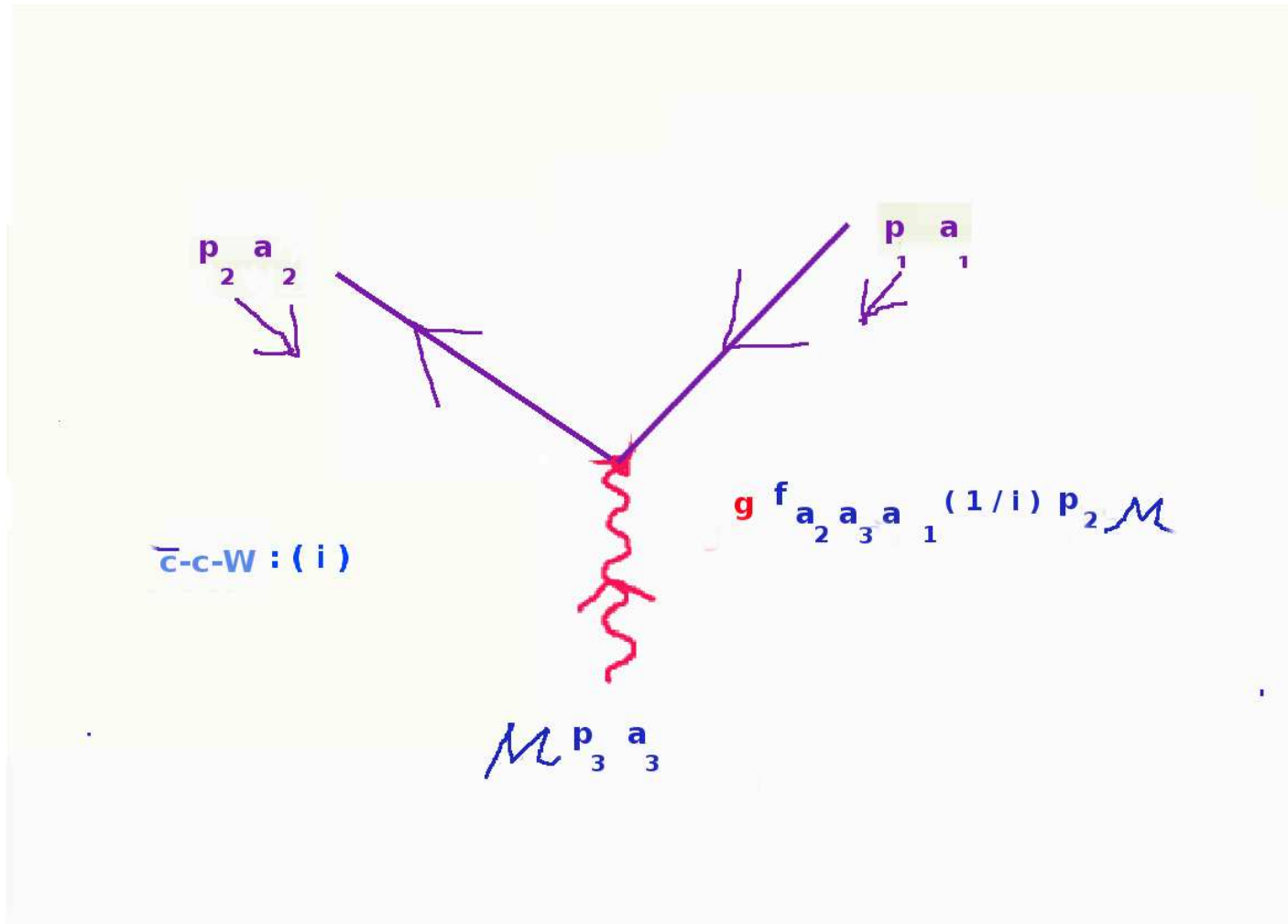
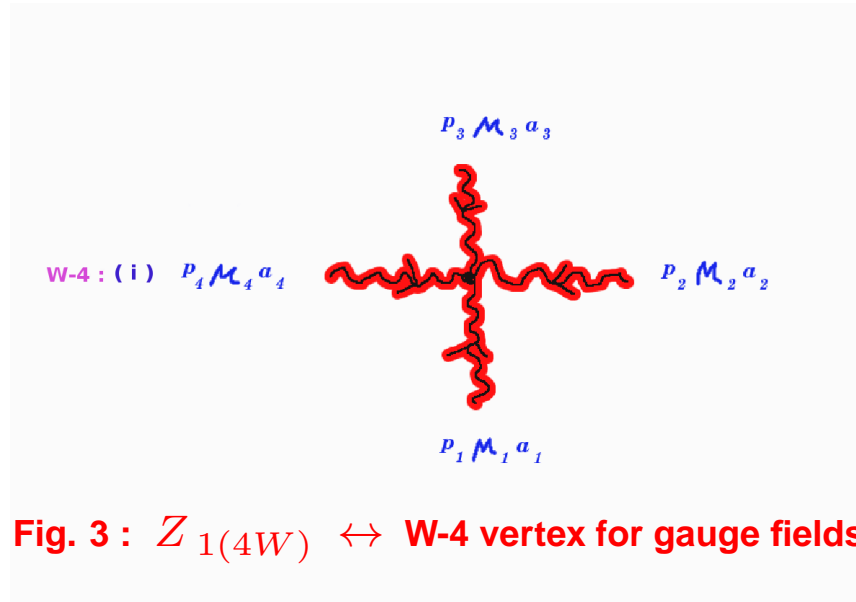


Fig. 2: $\tilde{Z}_1 \leftrightarrow \bar{c}cW$ vertex of the type $\partial \bar{c} c W$



3-4



$$g^2 \left(\begin{array}{l} r [a_1 a_2] [a_3 a_4] \left[\begin{array}{l} \eta_{\mu_1 \mu_4} \eta_{\mu_2 \mu_3} \\ - \eta_{\mu_1 \mu_3} \eta_{\mu_2 \mu_4} \end{array} \right] \\ + r [a_1 a_3] [a_2 a_4] \left[\begin{array}{l} \eta_{\mu_1 \mu_4} \eta_{\mu_2 \mu_3} \\ - \eta_{\mu_1 \mu_2} \eta_{\mu_3 \mu_4} \end{array} \right] \\ + r [a_1 a_4] [a_2 a_3] \left[\begin{array}{l} \eta_{\mu_1 \mu_3} \eta_{\mu_2 \mu_4} \\ - \eta_{\mu_1 \mu_2} \eta_{\mu_3 \mu_4} \end{array} \right] \end{array} \right) \rightarrow r$$

$$r [a_1 b_1] [a_2 b_2] = f d a_1 b_1 f d a_2 2_2$$



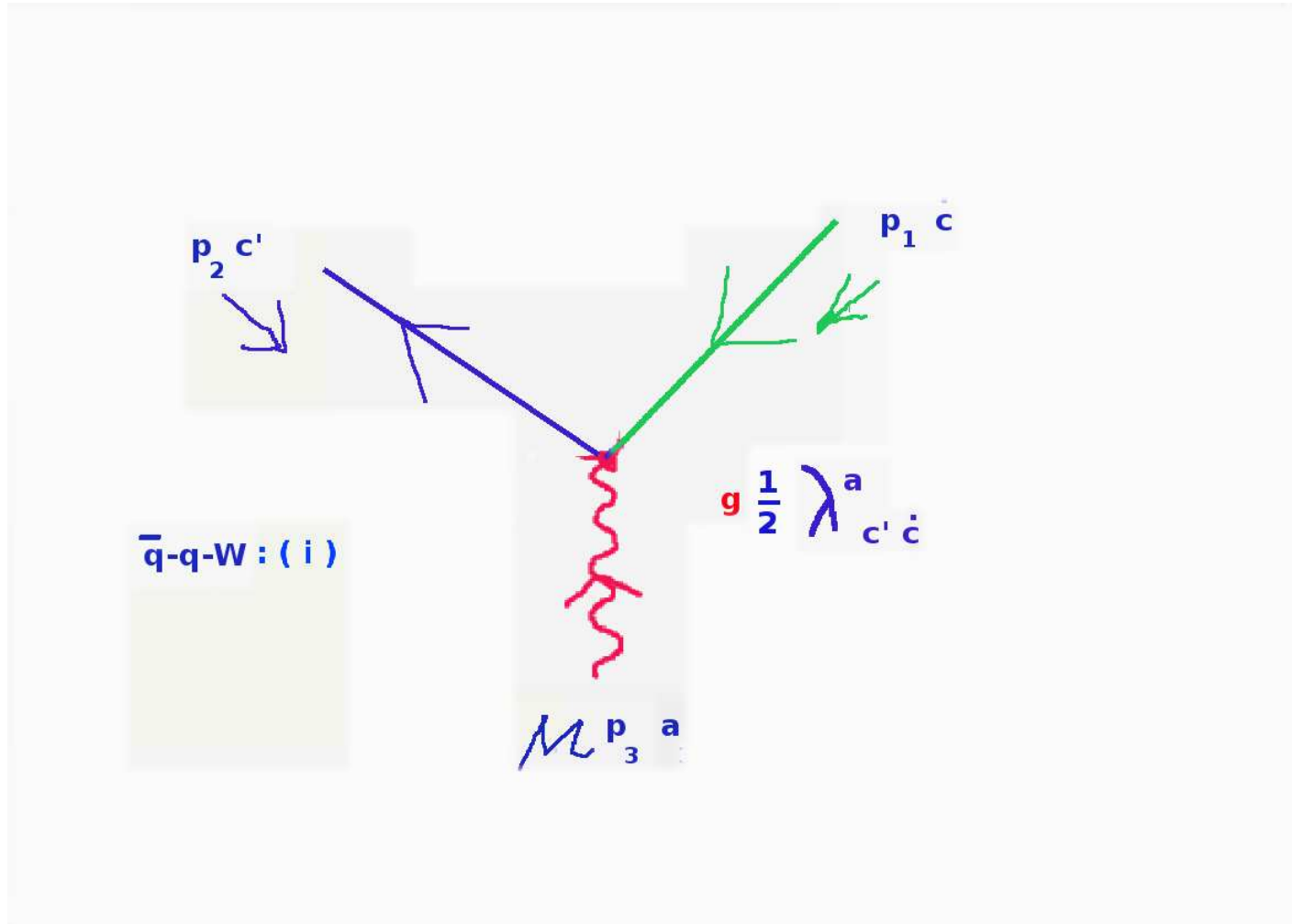


Fig. 4 : $Z_{1(\bar{q}qW)} \leftrightarrow$ W-3 vertex for $\bar{q} q$ to gauge fields



3-6

3-2 – The complete coupling constant renormalization equations

The minimal renormalization constants defined in eqs. 43 and 44, referring beyond the vertices shown in figures 1-4 to the two point functions for gauge connections, Z_3 , and ghost fields, \tilde{Z}_2 , thus need extension (also) to q, \bar{q} fields and their two point function, Z_2 , as shown below

$$g = (Z_3)^{1/2} (Z_3 / Z_1) g^{(0)} \quad ; \quad W_{\mu pert}^r = (Z_3)^{-\frac{1}{2}} \left(W_{\mu pert}^r \right)^{(0)}$$

$$g = (Z_3)^{1/2} \left(\tilde{Z}_2 / \tilde{Z}_1 \right) g^{(0)} \quad ; \quad (c, \bar{c})^r = \left(\tilde{Z}_2 \right)^{-\frac{1}{2}} \left((c, \bar{c})^r \right)^{(0)}$$

$$g^2 = Z_3 \left(Z_3 / Z_{1(4W)} \right) \left(g^{(0)} \right)^2$$

$$(45) \quad g = (Z_3)^{1/2} \left(Z_2 / Z_{1(\bar{q}qW)} \right) g^{(0)} \quad ; \quad q_{Sf}^c = (Z_2)^{-\frac{1}{2}} \left(q_{Sf}^c \right)^{(0)}$$

$$\bar{q}_{\dot{S}'f}^{\dot{c}'} = (Z_2)^{-\frac{1}{2}} \left(\bar{q}_{\dot{S}'f}^{\dot{c}'} \right)^{(0)}$$

Maintaining gauge invariance through renormalization thus implies the relations

$$(46) \quad Z_3 / Z_1 = \tilde{Z}_2 / \tilde{Z}_1 = Z_2 / Z_{1(\bar{q}qW)} \quad ; \quad Z_3 / Z_{1(4W)} = (Z_3 / Z_1)^2$$

It remains to include quark mass renormalization and associated rescaling. →

3-3 – Renormalization equations for quark masses m_f

We perform quark mass renormalization 'at zero mass' [A218-1973] , with the following relations , using the q, \bar{q} fields and their field renormalization constant, Z_2 , as defined in eqs. 45 and 46, through the extension

$$(47) \quad (\mathcal{L}_m)^{(0)} = - \sum_f Z_2 Z_m m_f ; \quad m_f = (Z_m)^{-1} m_f^{(0)}$$

The coefficients of the quark mass rescaling functions are known to four loops as calculated by Chetyrkin and independently by others [13-1997] . They are given in eq. 106 in Appendix 1.

Here we subsume the complete set of renormalized rescaling functions, which follows from the renormalization constants defined in eqs. 44 - 46

$$(48) \quad \left(\begin{array}{l} \mu^2 \partial_{\mu^2} + (\beta(g)/g) g^2 \partial_{g^2} + \\ + \gamma_m m_f \partial_{m_f} - 2\gamma_3 (\eta \partial_\eta) - \gamma_{J\mathcal{O}} \end{array} \right) C_{J\mathcal{O}}^{T(\Pi)}(z; \mu, g, m_\beta, \eta) = 0$$

$$\left\{ \begin{array}{l} \beta(g) = -gb(g^2) \\ \gamma_m(g^2) \equiv -\chi_m \\ \gamma_{J\mathcal{O}}(g^2, (\eta)) \\ \gamma_3(g^2, \eta) \end{array} \right\} = \mu^2 d/d\mu^2 \left\{ \begin{array}{l} 2 \log \left((Z_3)^{3/2} (Z_1)^{-1} \right) \\ \log \left((Z_m)^{-1} \right) \\ \log \left(Z_{\mathcal{O}} / Z_J^2 \right) \\ \log \left((Z_3)^{1/2} \right) \end{array} \right\}$$



We can always return to the standard form of the renormalization group equation

$$(49) \quad \left(\begin{array}{l} \mu \partial_{\mu} + \beta(g) \partial_g + (2\gamma_m) m_f \partial_{m_f} \\ -2(2\gamma_3)(\eta \partial_{\eta}) - (2\gamma_{J\mathcal{O}}) \end{array} \right) C_{J\mathcal{O}}^{T(\Pi)}(z; \mu, g, m_{\beta}, \eta) = 0$$

without changing the definition of β , transforming the lower relation in eq. 48, which amounts to double the coefficients of the quantities $\gamma_m, \gamma_3, \gamma_{J\mathcal{O}}$, as displayed in eq. 49

$$(50) \quad \left\{ \begin{array}{l} \beta(g) = -g b(g^2) \\ 2\gamma_m(g^2) \equiv -2\chi_m \\ 2\gamma_{J\mathcal{O}}(g^2, (\eta)) \\ 2\gamma_3(g^2, \eta) \end{array} \right\} = \mu d/d\mu \left\{ \begin{array}{l} \log \left((Z_3)^{3/2} (Z_1)^{-1} \right) \\ \log \left((Z_m)^{-1} \right) \\ \log \left(Z_{\mathcal{O}} / Z_J^2 \right) \\ \log \left((Z_3)^{1/2} \right) \end{array} \right\}$$



3-9

rescaling equations in the $\overline{\text{MS}}$ scheme for coupling constant g and quark masses m_f
 $\beta(g)$ and $\gamma_m(\kappa)$, $\kappa = g^2 / (16\pi^2)$

We turn to the scale (-square) evolution of the rationalized coupling constant and quark masses, introducing the variables

$$(51) \quad \tau = \log(\mu^2 / \mu_0^2) \quad ; \quad \kappa = g^2 / (16\pi^2) \quad \rightarrow$$

$$\left\{ \hat{\beta} = (\beta/g) \kappa = \hat{\beta}(\kappa), \gamma_m(\kappa) \right\}$$

The renormalization group equation in the form given in eq. 48 then becomes

$$(52) \quad \begin{pmatrix} \partial_\tau + \hat{\beta} \partial_\kappa + \gamma_m m_f \partial_{m_f} \\ -2\gamma_3(\eta \partial_\eta) - \gamma_{J\mathcal{O}} \end{pmatrix} C_{J\mathcal{O}}^{T(\Pi)}(z; \mu, g, m_\beta, \eta) = 0$$

The partial derivative terms in brackets in eq. 52 determine the sliding scale equations with respect to the quantities $\tau, \bar{\kappa}, \bar{m}_f, \bar{\eta}$ in the sense of its initial value structure. We restrict the discussion to $\tau, \bar{\kappa}(\tau), \bar{m}_f(\tau)$ here for simplicity.

$$(53) \quad \dot{\bar{\kappa}} = \hat{\beta}(\bar{\kappa}), \quad \dot{\bar{m}}_f = \gamma_m(\bar{\kappa}) \bar{m}_f \quad ; \quad \bullet = d/d\tau$$

$$\bar{\kappa}(\tau = 0) = \kappa, \quad \bar{m}_f(\tau = 0) = m_f$$



3-10

sliding coupling constant : $\frac{\bullet}{\bar{\kappa}} = \widehat{\beta}(\bar{\kappa})$

We associate integration variables , initial values and endpoint variables in the following way

$$(54) \quad \theta \leftrightarrow [\tau, 0] , \lambda \leftrightarrow [\bar{\kappa}, \kappa]$$

The integration of the rescaling differential equation becomes

$$(55) \quad \int_0^\tau d\theta = \tau = \int_{\bar{\kappa}}^\kappa d\lambda \left(\widehat{\beta}(\lambda) \right)^{-1} \longrightarrow \tau = \int_{\bar{\kappa}}^\kappa d\lambda \left(-\widehat{\beta}(\lambda) \right)^{-1}$$

The first two coefficients in the expansion of $\widehat{\beta}$ in powers of λ necessitate a twofold subtraction for $\lambda \downarrow 0$ for the integral on the right hand side of eq. 55 to converge at the lower limit of integration

$$(56) \quad \widehat{\beta}(\lambda) = \sum_{n=0}^{\infty} \widehat{\beta}_n \lambda^{n+2} ; \widehat{\beta}_n \equiv -b_n$$

The first four coefficients $b_n ; n = 0, \dots, 3$ are known , (ref. [14-1997]) and given in eq. 105 in Appendix 1 .

We perform this subtraction splitting $\widehat{\beta}$

$$(57) \quad \widehat{\beta} = \widehat{\beta}_{(2)} + \Delta_{(2)} \widehat{\beta} ; \left[\begin{array}{l} \widehat{\beta}_{(2)} = - (b_0 \lambda^2 + b_1 \lambda^3) \\ \Delta_{(2)} \widehat{\beta} = - \sum_{n=2}^{\infty} b_n \lambda^{n+2} \end{array} \right.$$

The subtraction, displayed in eq. 57



gives rise to the substitutions

$$\zeta_{(2)} = \frac{\Delta_{(2)} \widehat{\beta}}{\widehat{\beta}_{(2)}} = b_2 b_0^{-1} \lambda^2 (1 + O(\lambda))$$

$$(58) \quad \left(-\widehat{\beta}\right)^{-1} = \left(-\widehat{\beta}_{(2)}\right)^{-1} / (1 + \zeta_{(2)}) = \left(-\widehat{\beta}_{(2)}\right)^{-1} - \psi_{(2)}$$

$$\psi_{(2)} = \left(-\widehat{\beta}_{(2)}\right)^{-1} \frac{\zeta_{(2)}}{1 + \zeta_{(2)}} = b_2 (b_0)^{-2} (1 + O(\lambda))$$

ψ_2 as defined in eq. 58 has a regular power series expansion in λ , fully determined by $\widehat{\beta}$ and hence the sought subtractions involve only $\widehat{\beta}_{(2)}$, i.e. the beta function truncated to the first two terms.

We proceed in the reduction of $\left(-\widehat{\beta}\right)^{-1}$ using the substitutions in eq. 58

$$(59) \quad \begin{aligned} \left(-\widehat{\beta}\right)^{-1} &= \left(-\widehat{\beta}_{(2)}\right)^{-1} - \psi_{(2)} \\ \left(-\widehat{\beta}_{(2)}\right)^{-1} &= b_0^{-1} \lambda^{-2} \left(1 + b_1^{(0)} \lambda\right)^{-1}; \quad b_1^{(0)} = b_1 / b_0 \\ &= b_0^{-1} \lambda^{-2} \left(1 - b_1^{(0)} \lambda\right) \left(1 - \left(b_1^{(0)} \lambda\right)^2\right)^{-1} \end{aligned}$$



3-12

The last expression in eq. 59 decomposes into

$$(60) \quad \left(-\widehat{\beta}_{(2)}\right)^{-1} = b_0^{-1} \lambda^{-2} - b_0^{-2} b_1 \lambda^{-1} + \phi_{(2)}$$

$$\phi_{(2)} = b_0^{-1} \left(b_1^{(0)}\right)^2 \left(1 - \left(b_1^{(0)} \lambda\right)^2\right)^{-1}; \quad b_1^{(0)} = b_1 / b_0$$

$\phi_{(2)}$ defined in eq. 60, as ψ_2 defined in eq. 58, has a regular power series expansion in λ .

Thus we rearrange the expressions in eq. 59

$$\left(-\widehat{\beta}\right)^{-1} = \left(-\widehat{\beta}_{sing.}\right)^{-1} + \left(-\widehat{\beta}_{reg.}\right)^{-1}; \quad \widehat{\beta} = \widehat{\beta}_{(2)} + \Delta_{(2)} \widehat{\beta}$$

$$\left(-\widehat{\beta}_{sing.}\right)^{-1} = b_0^{-1} \lambda^{-2} - b_0^{-2} b_1 \lambda^{-1}, \quad \left(-\widehat{\beta}_{reg.}\right)^{-1} = \phi_{(2)} - \psi_{(2)}$$

$$(61) \quad \phi_{(2)} = b_0^{-1} \left(b_1^{(0)}\right)^2 \left(1 - \left(b_1^{(0)} \lambda\right)^2\right)^{-1}; \quad b_1^{(0)} = b_1 / b_0$$

$$\psi_{(2)} = \left(-\widehat{\beta}_{(2)}\right)^{-1} \frac{\zeta_{(2)}}{1 + \zeta_{(2)}} = b_2 (b_0)^{-2} (1 + O(\lambda))$$

$$\zeta_{(2)} = \frac{\Delta_{(2)} \widehat{\beta}}{\widehat{\beta}_{(2)}} = b_2 b_0^{-1} \lambda^2 (1 + O(\lambda))$$



3-13

We recall that in the decomposition $\widehat{\beta} = \widehat{\beta}_{(2)} + \Delta_{(2)} \widehat{\beta}$, retained in eq. 61, $\widehat{\beta}_{(2)}$ and $\Delta_{(2)} \widehat{\beta}$ denote the beta function truncated to the first two terms and the remainder term respectively. It further follows from eq. 61

$$(62) \quad \left(-\widehat{\beta}_{reg.} \right)^{-1} = b_0^{-1} \left((b_1/b_0)^2 - b_2/b_0 \right) (1 + O(\lambda))$$

We return to eq. 55 and integrate the singular part $\left(-\widehat{\beta}_{sing.} \right)^{-1}$

$$\begin{aligned} \tau &= \int_{\bar{\kappa}}^{\kappa} d\lambda \left(-\widehat{\beta}(\lambda) \right)^{-1} \\ &= \int_{\bar{\kappa}}^{\kappa} d\lambda \left\{ \left(-\widehat{\beta}_{reg.}(\lambda) \right)^{-1} + \left(-\widehat{\beta}_{sing.}(\lambda) \right)^{-1} \right\} \\ \int_{\bar{\kappa}}^{\kappa} d\lambda \left(-\widehat{\beta}_{sing.}(\lambda) \right)^{-1} &= b_0^{-1} \left[\begin{array}{cc} \bar{\kappa}^{-1} & - \kappa^{-1} \\ -b_0^{-1} b_1 (\log(\bar{\kappa}^{-1}) - \log(\kappa^{-1})) & \end{array} \right] \end{aligned}$$

(63)

Eq. 55 thus allows the separation of variables $\bar{\kappa}$ and κ



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$$\begin{aligned}
 (64) \quad & \tau = F(\bar{\kappa}) - G_{reg.}(\bar{\kappa}) - (F(\kappa) - G_{reg.}(\kappa)) \\
 & F(\bar{\kappa}) = b_0^{-1} \bar{\kappa}^{-1} - (b_1 / b_0^2) \log(\bar{\kappa}^{-1}) \\
 & G_{reg.}(\bar{\kappa}) = \int_0^{\bar{\kappa}} d\lambda \left(-\hat{\beta}_{reg.}(\lambda) \right)^{-1}
 \end{aligned}$$

**the substitution $\tau \rightarrow t = \tau - \log(\mu_0^2 / \Lambda^2)$ and
 inverting the functional relation $t = t(\bar{\kappa}) \longleftrightarrow \bar{\kappa} = \bar{\kappa}(t)$**

We rewrite eq. 64 separating sliding scale parts and associated scale μ and initial value parts associated with scale μ_0 , as indicated in eqs. 51 - 53

$$(65) \quad \left[\begin{aligned}
 & \tau + b_0^{-1} \kappa^{-1} - (b_1 / b_0^2) \log(b_0^{-1} \kappa^{-1}) - G_{reg.}(\kappa) + \\
 & \quad + (b_1 / b_0^2) \log(b_0^{-1} \bar{\kappa}^{-1}) + G_{reg.}(\bar{\kappa})
 \end{aligned} \right] = b_0^{-1} \bar{\kappa}^{-1}$$

The substitutions $\kappa \rightarrow b_0 \kappa$ and $\bar{\kappa} \rightarrow b_0 \bar{\kappa}$ in the inverse of the arguments of the logarithm terms in eq. 65 cancels out in the difference. Next we adjust the scale parameter $\mu_0 \rightarrow \Lambda \longrightarrow$

setting

$$\begin{aligned}
 & -b_0^{-1} \kappa^{-1} + (b_1 / b_0^2) \log \left(b_0^{-1} \kappa^{-1} \right) + G_{reg.}(\kappa) = \log \left(\mu_0^2 / \Lambda^2 \right) \\
 (66) \quad & t = \tau - \log \left(\mu_0^2 / \Lambda^2 \right) = \log \left(\Lambda^2 / \mu^2 \right) \\
 & x = b_0 \bar{\kappa} ; G_{reg.}(x / b_0) = G(x) ; b_1 / b_0^2 = a
 \end{aligned}$$

Further we substitute the sliding scale variable $\bar{\kappa} \longrightarrow b_0 \bar{\kappa} = x$. With these substitutions eq. 65 becomes

$$\begin{aligned}
 & t + a \log \left(x^{-1} \right) + G(x) = x^{-1} \\
 (67) \quad & \hline
 & G(x) = x \sum_{n=0}^{\infty} G_n x^n
 \end{aligned}$$

In order to establish the asymptotic 'ultraviolet' expansion for $t \rightarrow \infty$ and $Y = x^{-1} \rightarrow \infty$ we introduce the notation, for clarity, including a change of variables $t = \exp(L)$; $L = \log(t)$

$$\begin{aligned}
 (68) \quad & \log(t) = L ; x = x(L) \longrightarrow Y = Y(L) \equiv (x(L))^{-1} \\
 & \log(Y) = Z(L) \equiv \log \left((x(L))^{-1} \right)
 \end{aligned}$$



Eq. 67 takes the form

$$(69) \quad L + \log \left[1 + \frac{a Z(L) + G(x = Y^{-1})}{\exp(L)} \right] = Z(L)$$

$$t = \exp(L) ; Y = \exp(Z) \leftarrow Z = Z(L)$$

Eq. 69 can now be solved by iteration in the asymptotic limit $L \rightarrow \infty$

$$L = \log [\log (\Lambda^2 / \mu^2)] \rightarrow \infty$$

$$Z(L) = \lim_{n \rightarrow \infty} Z_n(L)$$

$$(70) \quad L + \log \left[1 + \frac{a Z_n(L) + G(x_n = Y_n^{-1})}{\exp(L)} \right] = Z_{n+1}(L)$$

anchor : $Z_0(L) = L$

The first anchor takes care of the largest contribution to Z , i.e. L .



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Thus we obtain $Z_1(L)$ and $Y_1 = \exp(Z_1)$ from eq. 70

$$(71) \quad Z_1(L) = L + \log \left[1 + aL \exp(-L) + \frac{G(\exp(-L))}{\exp(L)} \right]$$

$$Y_1(L) = e^L + aL + G(\exp(-L)) \quad ; \quad t = e^L$$

Comparing with the recursive equations (eq. 70 , repeated below

$$L = \log [\log (\mu^2 / \Lambda^2)] \rightarrow \infty$$

$$Z(L) = \lim_{n \rightarrow \infty} Z_n(L)$$

$$(72) \quad L + \log \left[1 + \frac{a Z_n(L) + G(x_n = Y_n^{-1})}{\exp(L)} \right] = Z_{n+1}(L)$$

anchor : $Z_0(L) = L$

it follows that all contributions from the function G , i.e. resulting from the subleading terms proportional in the beta function truncated by the first to terms $b_0 \kappa^2 + b_1 \kappa^3$ give vanishing contributions to $Y(L) \equiv (b_0 \bar{\kappa})^{-1}(L)$ for $L \rightarrow \infty$. This can be verified recursively. →

Thus modulo terms vanishing for $L \rightarrow \infty$ we can solve the simpler functional equation, omitting G from eqs. 67, 71 and 72

$$(73) \quad t + a \log \left(\tilde{Y} \right) = \tilde{Y} ; \quad \tilde{Y} = x^{-1} ; \quad Y - \tilde{Y} \rightarrow 0 \quad \text{for } t \rightarrow \infty$$

or equivalently

$$(74) \quad L + \log \left(1 + a \tilde{Z} e^{-L} \right) = \tilde{Z} ; \quad \tilde{Y} = e^{\tilde{Z}} ; \quad t = e^L$$

The same argument which led to the elimination of the term proportional to G in eq. 72 as far as nonvanishing contributions for Y are concerned in the limit $L \rightarrow \infty$ imply that expanding the logarithm on the left hand side of eq. 74 only the first term need be retained

$$(75) \quad \log \left(1 + a \tilde{Z} e^{-L} \right) \sim a \tilde{Z} e^{-L}$$

leading to the equivalent approximate functional equation simplifying eq. 74

$$(76) \quad \begin{aligned} Z &\sim \tilde{Z} \sim L / (1 - a e^{-L}) \sim L + a e^{-L} L \longrightarrow \\ Y &\sim \tilde{Y} \sim e^L (1 + a e^{-L} L) = e^L + a L + [0] \quad \text{for } L \rightarrow \infty \end{aligned}$$

In eq. 76 the \sim symbol is meant to imply modulo additive terms to Y , vanishing for $L \rightarrow \infty$, proving the remarkable fact that the potential constant in the asymptotic expansion for Y vanishes. \longrightarrow

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We conclude this subsection comparing side by side the functional dependence of $t \equiv \log (\mu^2 / \Lambda^2) = t(\bar{\kappa}(\mu))$ and $Y \equiv (b_0 \bar{\kappa})^{-1} = Y(t)$.

The first relation is given in eq. 67

$$t = x^{-1} - a \log (x^{-1}) - G(x)$$

$$G(x) = x \sum_{n=0}^{\infty} G_n x^n ; x = b_0 \bar{\kappa}(\mu^2)$$

$$t = \log (\mu^2 / \Lambda^2) \longrightarrow$$

(77)

$$\Lambda^2 = \mu^2 \left[\exp \left(-\frac{1}{b_0 \bar{\kappa}} \right) \right] \left[\frac{1}{b_0 \bar{\kappa}} \right]^a \exp \left[G(b_0 \bar{\kappa}) \right]$$

$$a = b_1 / b_0^2$$

The second relation is displayed in eq. 76 , as an asymptotic expansion for $t = e^L \rightarrow \infty$

(78)

$$Y = Y(L) \equiv (b_0 \bar{\kappa})^{-1}(L) \text{ for } L = \log [\log (\mu^2 / \Lambda^2)] \rightarrow \infty$$

$$\sim e^L + aL + [0]$$



Eqs. 77 and 78 constitute the essence of this subsection, giving rise to some remarks :

1) The central scale Λ

with all subtle properties as defined, in the $\overline{\text{MS}}$ scheme, constitutes a renormalization group invariant finite mass scale, given a region of sliding scale coupling constant $\kappa = g^2 / (16 \pi^2)$ generically or $\bar{\kappa}$ in the perturbative region here, nonvanishing but appropriately small.

This scale does *not* depend on quark masses , only on the number of quark flavors , while derivations from measurable quantities (in the asymptotic region) suffer from systematic errors , which do depend on quark masses .

The combined analysis of the sliding scale coupling constant , as of 2009 by Bethke , is shown in Fig. A21 .

I quote here a discussion of Λ for $N_{fl} = 3$ by Bodenstein et al., ref. [15-2011] , from data in the mass scale region of the τ lepton

$$(79) \Lambda_{N_{fl}=3} = 382 \pm 24 \text{ MeV} \longleftrightarrow \alpha_s = 4\pi \kappa (\mu = m_\tau) = 0.344 \pm 0.014$$

The reconstruction of Λ from the 'perturbatively accessible region' implies a hard breaking of scale invariance , and hence equivalently a nonvanishing trace of the co-renormalizable and renormalized energy momentum density operator. This shall be discussed in the next subsection.



2) sliding scale quark masses

The rescaling equations (eqs. 51 - 53) extend to the quark mass parameters , again universally and quark mass independently rescaling m_f , keeping ratios invariant

$$(80) \quad r_{f_1 f_2} = m_{f_1} / m_{f_2}$$

Here no detailed discussion of extracting sliding scale quark masses including heavy flavors c , b is given. For heavy flavor comparisons with initially the reaction $e^+ e^- \rightarrow f \bar{f}$ - flavored hadrons , the QCD sum rule approach is the main tool , as pioneered by Shifman, Vainshtein and Zakharov [16-1979] , together with lattice QCD .

The sliding quark masses as for 3 flavors are shown in figure 6 below , restricted to two loop approximation . References [15-2011] - [17-2011] are representative for present refinement to four loops in the rescaling functions and derived results , e.g.

$$(81) \quad \bar{m}_b(\bar{m}_b) = \begin{cases} 4163 \pm 16 \text{ MeV} & \text{ref. [18-2010]} \\ 4171 \pm 7 \text{ MeV} & \text{ref. [19-2011]} \\ 4177 \pm 11 \text{ MeV} & \text{ref. [17-2011]} \end{cases}$$

$$\bar{m}_c(3 \text{ GeV}) = 986 \pm 13 \text{ MeV} , \text{ ref. [18-2010]}$$

$$\bar{m}_c(\bar{m}_c) = 1262 \pm 17 \text{ MeV} , \text{ ref. [17-2011]}$$



3-4 – Reinterpreting the central anomalies

$$\frac{1}{4} [B_{\mu\nu}^r B^{\mu\nu r}]_{\infty} = \mathcal{B}_{\infty}^+ \quad \& \quad \frac{1}{4} [B_{\mu\nu}^r \tilde{B}^{\mu\nu r}]_{\infty} = \mathcal{B}_{\infty}^-$$

The central anomalies (eq. 39) become, using the field strength bilinears (re-)normalized at $\mu = \infty$, and separating gauge- and quark antiquark parts in the lowest order constant

$b_0 = b_{0 \text{ g.b.}} - \frac{2}{3} N_{fl}$ of the coupling constant rescaling function $\beta(g)$

$$\left\{ \begin{array}{l} \mathcal{V}^{\mu}_{\mu} = \sum_q \left[m_q(\mu) \bar{q} q(\mu) + \frac{1}{12\pi^2} \mathcal{B}_{\infty}^+ \right] - b_{0 \text{ g.b.}} \frac{1}{8\pi^2} \mathcal{B}_{\infty}^+ \\ \partial^{\nu} (\bar{q} \gamma_{\nu} \gamma_5 q) = 2 m_q(\mu) \bar{q} i \gamma_5 q(\mu) + 2 \frac{1}{8\pi^2} \mathcal{B}_{\infty}^- \end{array} \right\} (x)$$

$$-\beta/g^3 = b_0 / (16\pi^2) + O(\kappa) ; \quad \kappa = g^2 / (16\pi^2)$$

$$b_0 = b_{0 \text{ g.b.}} - \frac{2}{3} N_{fl} ; \quad b_{0 \text{ g.b.}} = \frac{11}{3} C_2(\text{adj}(Lie - SU3_c)) = \frac{11}{3} 3$$

(82)

In eq. 82 normal ordering symbols are omitted for brevity of notation. Furthermore the renormalization scale for the field strengths bilinears

$$\frac{1}{4} [B_{\mu\nu}^r B^{\mu\nu r}]_{\infty} = \mathcal{B}_{\infty}^+ ; \quad \frac{1}{4} [B_{\mu\nu}^r \tilde{B}^{\mu\nu r}]_{\infty} = \mathcal{B}_{\infty}^-$$

is set to $\mu = \infty$ which does lead to a conceptual clarification of these quantities most importantly for a selfdual or anti-selfdual classical background field configuration. \rightarrow

In figure 5 , below , the behaviour of m_u , $\frac{1}{2} (m_u + m_d)$, m_d as functions of the sliding scale Q is shown in a range of euclidean values of Q , believed to be within the perturbatively accessible region. The curves are all proportional to *one* universal function .

- > Date: Fri, 21 June 2013 16:50:53 +0800
- > Greetings from World Scientific!
- > Murray Gell-Mann recently gave a public lecture, titled The Story of Quarks
- > www.worldscientific.com], at the National University of Singapore. It was
- > organized by the Institute of Advanced Studies as part of the
- > Distinguished Public Lecture series. His lecture has been published in
- > International Journal of Modern Physics A (IJMPA) Volume 28, Issue 13 . (ref. [20-2013]

We return to the main theme of this discussion in the next section



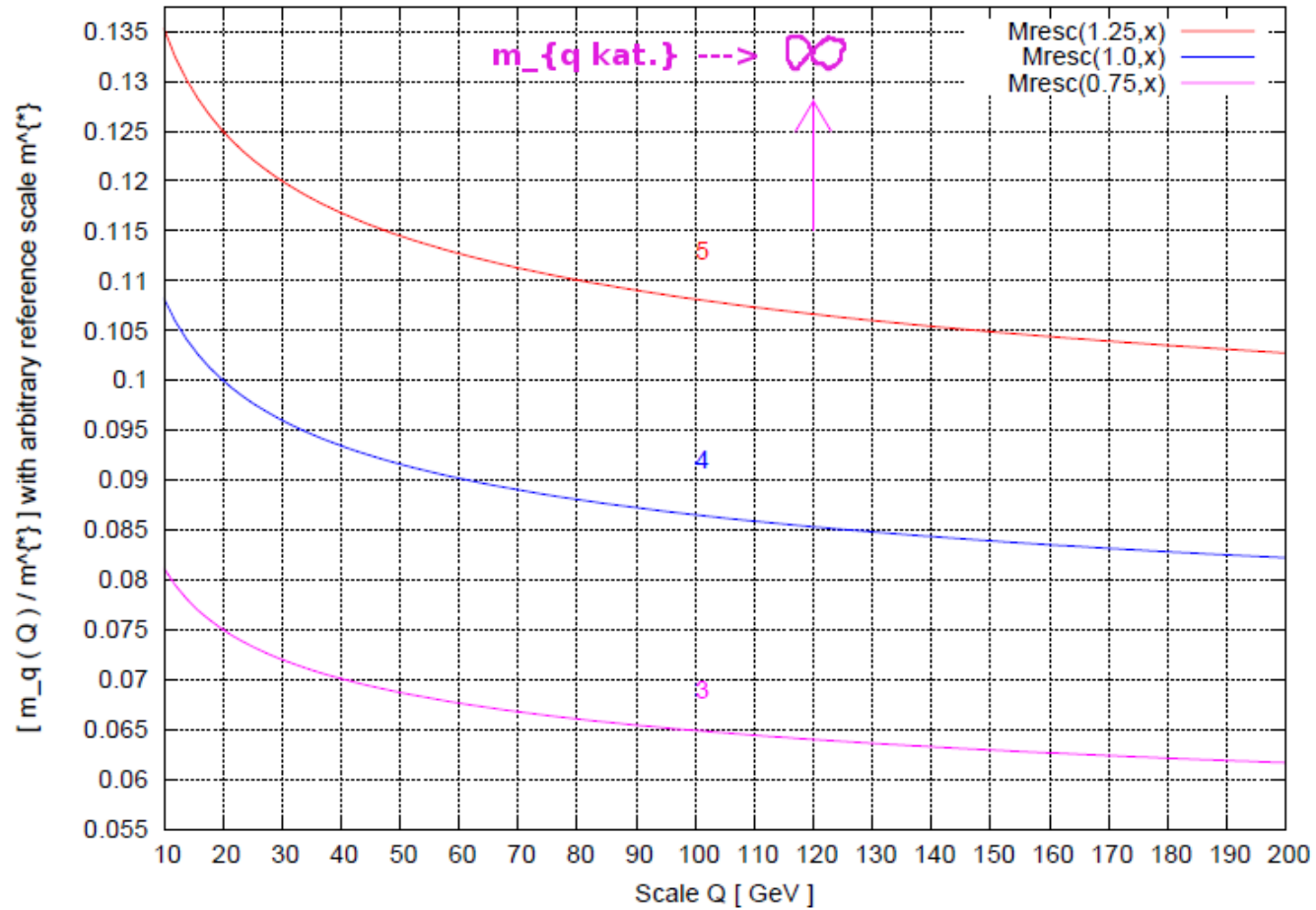


Fig. 5 : $m_q(Q) / m^*$ with fixed ratio of rescaled quark masses

$$m_u : \frac{1}{2} (m_d + m_u) : m_d = 3 : 4 : 5$$



4 – Ideas forging and foregoing - the dynamics of genuinely oscillatory modes [1-1980]

It is worth noting , that Erwin Schrödinger turned to the discussion of oscillatory modes of single- and by reduction of c.m. coordinates – of a pair mode of oscillatory motion , in the last (4th) paper in ref. [21-1926] .

However in the above paper he (E.S.) makes the assumption , that associated forces arise from the exchange of a photon , i.e. involve a local electromagnetic exchange interaction as giving rise to an equally local second order wave equation , responsible for oscillatory (pair-) modes . This is incorrect , contrary to the structure embedded in QCD , up to the present incomplete level of completion , which remains a future task .

Thus we concentrate on the present topic and lay out the ideas in ref. [1-1980] .

We relate the bond structure of quark-antiquark (meson) and N – quark (baryon) systems, subject to an $SU(N)$ unbroken color gauge group , to the long-range dynamics involving the oscillatory modes in the phase space of the center of mass position and momentum variables [$N \equiv N_c \rightarrow 3$] to be clear.

These canonical baricentric 3-vector variables are shown in eq. 83



4-2

$$\begin{aligned}
 \vec{\pi}_1 &= \frac{1}{\sqrt{2}} (\vec{p}_1 - \vec{p}_2) & , & & \vec{z}_1 &= \frac{1}{\sqrt{2}} (\vec{x}_1 - \vec{x}_2) \\
 \vec{\pi}_2 &= \frac{1}{\sqrt{6}} (\vec{p}_1 + \vec{p}_2 - 2\vec{p}_3) & , & & \vec{z}_2 &= \frac{1}{\sqrt{6}} (\vec{x}_1 + \vec{x}_2 - 2\vec{x}_3) \\
 & \cdot & & & \cdot & \\
 & \cdot & & & \cdot & \\
 \vec{\pi}_\nu &= (\nu(\nu+1))^{-1/2} \begin{pmatrix} \sum_{\alpha=1}^{\nu} \vec{p}_\alpha \\ -\nu \vec{p}_{\nu+1} \end{pmatrix} & , & & \vec{z}_\nu &= (\nu(\nu+1))^{-1/2} \begin{pmatrix} \sum_{\alpha=1}^{\nu} \vec{x}_\alpha \\ -\nu \vec{x}_{\nu+1} \end{pmatrix} \\
 & \cdot & & & \cdot & \\
 & \cdot & & & \cdot & \\
 \vec{\pi}_{N-1} &= \cdots & , & & \vec{z}_{N-1} &= \cdots
 \end{aligned}$$

$$(83) \quad \vec{\pi}_N = N^{-1/2} \sum_{\alpha=1}^N \vec{p}_\alpha \rightarrow 0 \quad , \quad \vec{z}_N = N^{-1/2} \sum_{\alpha=1}^N \vec{x}_\alpha \rightarrow 0$$

The last line in eq. 83 refers to c.m. momentum and position .



The bond structures of $q\bar{q}$ and $3q$ configurations are shown in figure 1 - I , repeated below

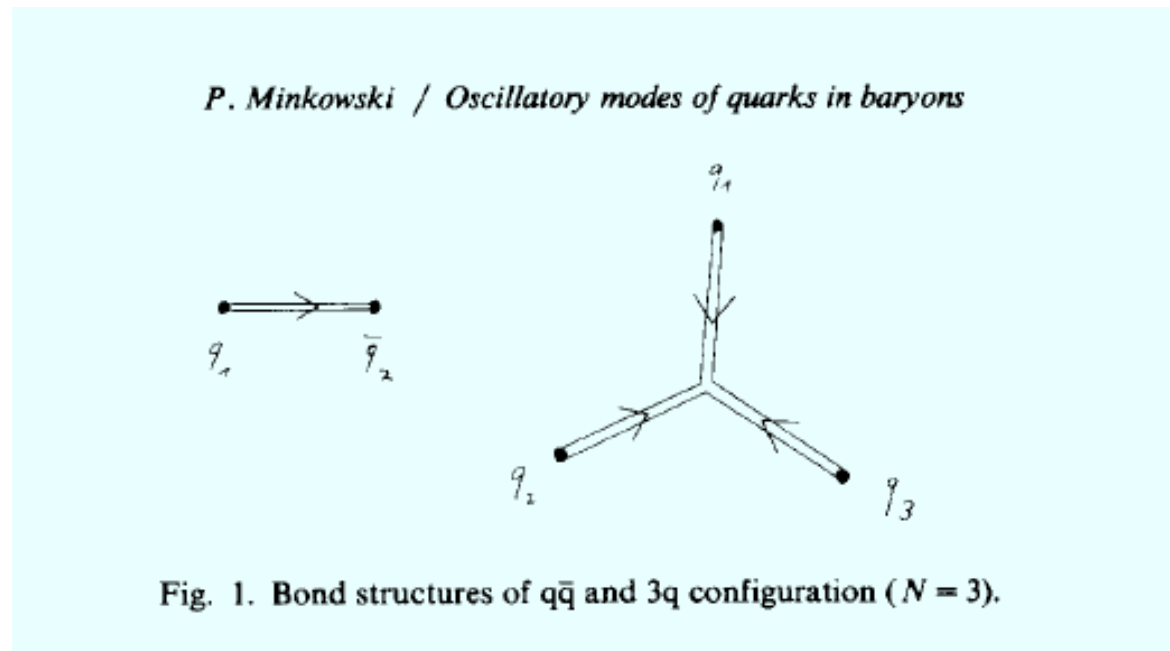


Fig. 1 - I : Bond structures of $q\bar{q}$ and $3q$ configurations ($N = 3$) \longleftrightarrow

Of course we are interested in $N = 3$ but one goal of this investigation is to shed light on the N dependence of the ratio of baryonic to mesonic inverse Regge slopes

$$(84) \quad \Lambda_N / \Lambda (= 1) \quad \text{for vanishing quark masses } M_\alpha \rightarrow 0 ; \quad \alpha = 1, \dots, N$$

$$(85) \quad \Delta \mathcal{M}_{baryon}^2 = 2 \Lambda_N \sum_{\alpha=1}^{3N-3} \Delta \nu_{\alpha} \quad \Delta \nu_{\alpha} = 0, \pm 1, \pm 2, \dots$$

$$\Delta \mathcal{M}_{meson}^2 = 2 \Lambda \sum_{\alpha=1}^3 \Delta \nu_{\alpha}$$

In eq. 84 quark masses are denoted M_{α} ; α : quark flavor, as throughout section 4, in order to distinguish them from – the oscillator state configuration space variable dependent masses – denoted m, \bar{m}, \dots .



4-5

and the connection of this ratio to the intermediary range quark-antiquark potential – mainly studied for the $c\bar{c}$ charmonium (binding) spectrum – determined by the conditions

$$(86) \quad \text{Min}_{\alpha=1,\dots,N} M_{\alpha} \ll |V_{NR}(z_{\beta})| \ll \text{Min}_{\gamma=1,\dots,N-1} |z_{\gamma}|^{-1}$$

We reformulate as starting point the snowball effect for a $q\bar{q}$ equal mass pair , described (in the c.m. system) by the Lagrangean

$$(87) \quad \begin{aligned} \mathcal{L}_{(2)} &= -m_1 (1 - v_1^2)^{-1/2} - m_2 (1 - v_2^2)^{-1/2} \\ &= -2m (1 - v^2)^{-1/2} \end{aligned}$$

$$\vec{v}_j = \dot{\vec{x}}_j ; j = 1, 2 ; m = m(z) \text{ for (just here) } M_{q1} = M_{\bar{q}1} = 0$$

Eq. 87 is only valid in the c.m. frame , where the analog of energy conservation takes the form

$$(88) \quad \begin{aligned} \mathcal{H}_{(2)} &= \vec{v} \mathcal{L}_{(2)}, \vec{v} = \frac{2m}{\sqrt{1 - v^2}} \\ (\vec{p})_1 - (\vec{p})_2 &= 2\vec{p}_{c.m.} = \mathcal{L}_{(2), \vec{v}} = \mathcal{H}_{(2)} \vec{v} \\ (\mathcal{H}_{(2)})^2 v^2 &= (\mathcal{H}_{(2)})^2 - 4m^2 = 4p_{c.m.}^2 \end{aligned}$$



4-6

Eqs. 87 and 88 are understood as approximations for large distances . They can be interpreted classically **or** quantum mechanically .

We note that in the discussion ongoing of $q\bar{q}$ oscillator modes, we do not use the orthogonal normalization as displayed in eq. 83 . This is so because other conventions had been used before , as for myself to the year 1976 . while working at Caltech . The definitions used are shown in the next equation

$$\bar{m} = 2 m \quad , \quad -\Delta_z + \bar{m}^2 (z) = H_{(2)}^2$$

$$(89) \quad (\mathcal{H}_{(2)} \vec{v}) \cdot = \mathcal{H}_{(2)} \frac{1}{2} \ddot{\vec{y}} = -\frac{1}{\mathcal{H}_{(2)}} \text{grad}_y \bar{m}^2 \quad ; \quad \vec{y} = \vec{x}_1 - \vec{x}_2$$

$$\longrightarrow \mathcal{H}_{(2)}^2 \frac{1}{4} (\dot{\vec{y}})^2 = 4 p_{c.m.}^2 + \bar{m}^2 = \mathcal{M}^2 = \text{constant}$$

adopting the long range approximate nature of the harmonic oscillator relations – for the $q\bar{q}$ – bond

$$(90) \quad \bar{m}^2 \sim_{|y| \rightarrow \infty} \left(\frac{1}{2} \Lambda\right)^2 y^2 [1 + O(M_q / |y|) + \dots]$$

In eq. 89 we have substituted the variable \vec{y} for $z \rightarrow \vec{z}$.

A few remarks shall follow →

1) The lessons from the $q\bar{q}$ – bond are limited

The exclusive relative *distance-dependence* contained in the asymptotic term in eq. 90

$$(91) \quad \bar{m}^2 \sim \frac{1}{2} \Lambda^2 y^2$$

generates genuine oscillatory modes for the $q\bar{q}$ – bond , yet no multi-position dependent generalization can accomplish the same for the $3q - (Nq -)$ bonds .

2) but not empty

For the $q\bar{q}$ – bond it follows

$$(92) \quad \ddot{y} = - \left(\frac{\Lambda}{\mathcal{H}_{(2)}} \right) y \quad , \quad \Lambda [-\Delta_\xi + \xi^2] = H_{(2)}^2$$

$$\xi = \frac{1}{2} (\Lambda)^{1/2} y$$

$$\longrightarrow \omega_{cl} = \frac{\Lambda}{\mathcal{H}_{(2)}} \quad , \quad H_{(2)}^2 (\{ \nu \}) = 2 \Lambda \sum_{\alpha=1}^3 \nu_\alpha + 3 \Lambda$$

$\nu_\beta = 0, 1, \dots$; $\beta = 1, 2, 3$; **oscillator occupation numbers**



3) The color quantum number has vanished from the description

Vacuum - vacuum amplitudes of two colored local operators are not gauge invariant, provided local gauge invariance is not conserved 'completely', to be defined including appropriate generalized boundary conditions, in QCD.

The wave functions on the other hand are not local.

4) Which are the dependences on quantum numbers like (light-) flavors and spin?

The quantity Λ in eq. 91, of dimension mass^2 is considered universal, i.e. does not depend on any other quantum numbers neither on quark masses, except on the occupation numbers of the oscillatory modes at hand.

Extension to include the N_q - bond

The key idea arose upon a discussion initiated by H. R. Dicke, concerning the feasibility and appropriateness to envisage a revision of the errors, as established by Loránd (Roland v.) Eötvös in 1918, in his famous experiments in ref. [22-1918-1961] measuring the equality of inertial and gravitational mass with the help of rotating springs, to which test bodies are attached, while the springs are fixed to one point, say atop a rotating rod. It should be added that the springs must be elongating under the centrifugal force only in one longitudinal direction. \longrightarrow

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Dicke reports in ref. [22-1918-1961] that Eötvös , in his description of the experiments mentions an important obstacle to overcome , consisting in a precise separation of the mass of the test bodies from a combination of a part of the spring mass with them .

Hence the conclusion from the above situation to the question envisaged was , that in presence of position dependent mass this mass and inertial mass were *not the same* .

This led to the Ansatz , as I followd in ref. [1-1980]

$$\begin{aligned}
 \mathcal{L}_N &= - \sum_{\alpha=1}^N \left[m_{\alpha}^2 - Q_{\beta\gamma}^{\alpha} \vec{v}_{\beta} \cdot \vec{v}_{\gamma} \right]^{1/2} \\
 \vec{v}_{\alpha} &= \dot{\vec{x}}_{\alpha} \\
 (93) \quad m_{\alpha} &= m_{\alpha} \left[\vec{z}_1, \dots, \vec{z}_{N-1} \right] , \quad \text{gravitational effective masses} \\
 Q_{\beta\gamma}^{\alpha} &= Q_{\beta\gamma}^{\alpha} \left[\vec{z}_1, \dots, \vec{z}_{N-1} \right] , \quad \text{inertial effective masses}
 \end{aligned}$$

valid in the c.m. system of the N quarks .

The external quark masses M_q , appropriate multipliers of the scalar densities $\bar{q}q$ composing the mass term in the local (QCD) Lagrangian

$$(94) \quad - \mathcal{L}_m = \sum_{flavors} \frac{z_q}{z_M} M_q \bar{q}^c q^c$$



4-10

appear as constants in the gravitational mass

$$(95) \quad m_\alpha [M_q, \underline{z}] = M_\alpha + m_\alpha [M_q = 0, \underline{z}]$$

$$\underline{z} = (\vec{z}_1, \dots, \vec{z}_{N=1})$$

whereas a consistent non-relativistic limit demands

$$(96) \quad [Q_{\alpha\alpha}^\alpha (M_q, \underline{z})]^{1/2} \xrightarrow{M_q \rightarrow \infty} M_\alpha + O [m_\beta [M_q = 0, \underline{z}]]$$

$$Q_{\beta\gamma}^\alpha, \beta, \gamma \neq \alpha \xrightarrow{M_q \rightarrow \infty} O [m_\beta [M_q = 0, \underline{z}]]$$

From eqs. 95 and 96 we recognize the problem of separation of mass and binding energy, as relevant, e.g., to the gravitational interaction of the whole N-quark system, appearing.

In the following m-, Q- are approximated by the corresponding quantities for $M_q = 0$, i.e., in the chiral limit with respect to all the quark flavors composing the N_q - bond .

Then in the harmonic long-range limit Q- is determined from m- through the relation

$$(97) \quad Q_{\beta\gamma}^\alpha = \frac{1}{K_N} (m_\alpha)^2 \delta_{\beta\gamma} ; K_N : \text{constant}$$



4-11

The kinetic term for the quark – a – depends on all the velocities \vec{v}_β and the Lagrangean \mathcal{L}_N in eq. 93 takes the simplified form

$$\mathcal{L}_N = -\bar{m} \left[1 - \sum_\beta (\vec{v}_\beta)^2 \right]^{1/2} ; \bar{m} = \sum_{\alpha=1}^N m_\alpha = \bar{m} \left(\vec{x}_\beta - \vec{X} \right)$$

(98)
$$\vec{X} = \frac{1}{N} \sum_{\alpha=1}^N \vec{x}_\alpha \rightarrow 0$$

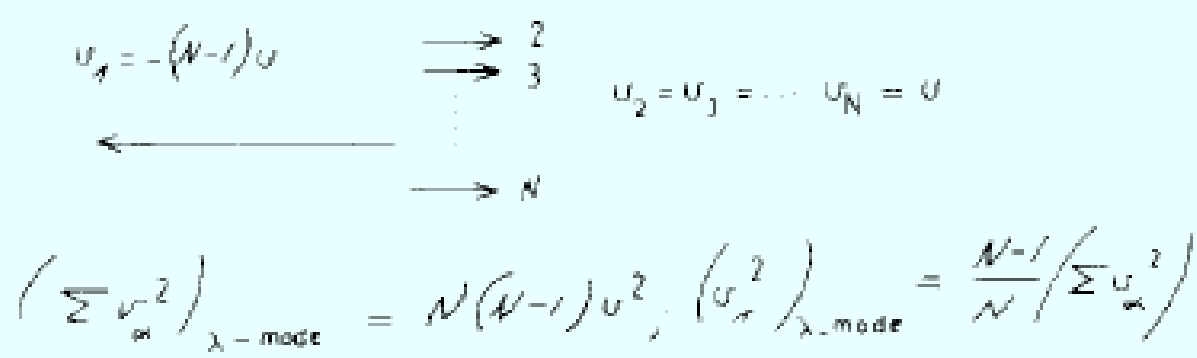
The meaning of the constant K_N is the following : under the constraint $\sum_\alpha \vec{v}_\alpha = 0$ the maximum any individual $(\vec{v}_\beta)^2$ can assume for given $\sum_\gamma (\vec{v}_\gamma)^2$ is for the so-called λ – mode , shown in figure 6 below .

An inequality for any individual square velocity follows

$$v_\alpha = \left((\vec{v}_\alpha)^2 \right)^{1/2} \rightarrow$$

(99)
$$v_\alpha^2 \leq \frac{N-1}{N} \sum_\gamma v_\gamma^2 \leq \frac{N-1}{N} K_N c^2 \quad (c = 1)$$





$$u_1 = -(N-1)u \quad \begin{array}{l} \longrightarrow 2 \\ \longrightarrow 3 \\ \vdots \\ \longrightarrow N \end{array} \quad u_2 = u_3 = \dots = u_N = u$$

$$\left(\sum v_\alpha^2 \right)_{\lambda\text{-mode}} = N(N-1)u^2, \quad \left(u_\alpha^2 \right)_{\lambda\text{-mode}} = \frac{N-1}{N} \left(\sum u_\alpha^2 \right)$$

Fig. 6 : λ - mode for N quark bond \longleftrightarrow

\mathcal{L}_N in eq. 98 delimits its validity to physical values of v_α^2 for $K_N = N / (N - 1)$

$$(100) \quad v_\alpha^2 \leq c^2 \quad \longleftrightarrow \quad K_N = \frac{N}{N - 1}$$

\longrightarrow

4-13

The equation for the conserved energy (eq. 88 for $\mathcal{L}_{(2)}$) for \mathcal{L}_N becomes

$$\mathcal{H}_N = \vec{v}_\alpha \vec{p}_\alpha - \mathcal{L}_N$$

$$(101) \quad \vec{p}_\beta = \mathcal{L}_{N, \vec{v}_\beta} = \frac{\bar{m}}{K_N} \left([1 - \omega^2]^{-1/2} \right)_{, \vec{v}_\beta} = \frac{\mathcal{H}_N}{K_N} \vec{v}_\beta$$

$$\omega^2 = \frac{1}{K_N} \sum_{\gamma=1}^N v_\gamma^2 ; \quad K_N = \frac{N}{N-1}$$

From eq. 101 we obtain in canonically conjugate oscillator variables

$$(102) \quad (\mathcal{H}_N)^2 = \left[K_N \sum_{\alpha=1}^N (\vec{p}_\alpha)^2 \Big|_{\sum_{\beta=1}^N \vec{p}_\beta = 0} + \bar{m}^2 (x_\gamma - X) \right]$$

$$= \left[K_N \sum_{\alpha=1}^N (\vec{p}_\alpha)^2 \Big|_{\sum_{\beta=1}^N \vec{p}_\beta = 0} + \frac{\Lambda^2}{K_N} \sum_{\alpha=1}^N (\vec{x}_\alpha)^2 \Big|_{\sum_{\beta=1}^N \vec{x}_\beta = 0} \right]$$



4-14

\mathcal{H}_N is a constant of the motion by the relations displayed in eq. 101 , but it is $(\mathcal{H}_N)^2 \equiv \mathcal{M}_N^2$ becomes the genuinely canonical dynamic operator or in the classical framework 'Hamiltonian function' .
in the genuinely relativistic situation .

The structure of \mathcal{M}_N^2 is derived straightforwardly from eqs. 101 and 102

$$\begin{aligned}
 \mathcal{M}_N^2 &= \left[\begin{aligned} &K_N \sum_{\alpha=1}^N (\vec{p}_\alpha)^2 \Big|_{\sum_{\beta=1}^N \vec{p}_\beta = 0} + \\ &+ \frac{\Lambda^2}{K_N} \sum_{\alpha=1}^N (\vec{x}_\alpha)^2 \Big|_{\sum_{\beta=1}^N \vec{x}_\beta = 0} \end{aligned} \right] \\
 (103) \quad &= \left[\begin{aligned} &K_N \sum_{\alpha=1}^{N-1} (\vec{\pi}_\alpha)^2 + \frac{\Lambda^2}{K_N} \sum_{\alpha=1}^{N-1} (\vec{z}_\alpha)^2 \end{aligned} \right]
 \end{aligned}$$

$$(\vec{\pi}_\beta, \vec{z}_\beta) : \text{baricentric coordinates defined in eq. 83} ; \quad K_N = \frac{N}{N-1}$$



4-15

The mass-square spectrum according to \mathcal{M}_N^2 in eq. 103 is given by

$$(104) \quad \mathcal{M}_N^2 \Big|_{\text{spectrum}} = 2 \Lambda \sum_{\alpha=1}^{3N-3} \nu_{\alpha} + 3 \Lambda (3N - 3)$$

$$\nu_{\beta} = 0, 1, \dots ; \quad \beta = 1, 2, \dots, 3N - 3$$

Except for the zero point contribution , i. e. for the oscillation level splittings , it is universal , independent of N , proving the validity of the universal relation in eq. 84 ($\Lambda_N / \Lambda = 1$) . →

4-16

Taking the $\nu = \sum_{\eta=1}^6 \nu_{\eta} = 2$, $P = +$ nonstrange baryon states, i. e. for $N_{fl} = 2$, $N = 3$ and counting in a reduced way, all corresponding oscillatory modes with positive parity, compatible with overall Bose symmetry, neglecting color, we obtain the following table of 21 states, not counting isospin and spin degrees of freedom separately

TABLE πN -partial waves and associated number of baryon resonances with $\nu = 2$, $P = +$		
πN partial wave	Number of states with $\nu = 2$, $P = +$	Candidates
P11 $N(\frac{1}{2}^+)$	4	2
P13 $N(\frac{3}{2}^+)$	5	1-2
F15 $N(\frac{5}{2}^+)$	3	2
F17 $N(\frac{7}{2}^+)$	1	1
P31 $\Delta(\frac{1}{2}^+)$	2	1-2
P33 $\Delta(\frac{3}{2}^+)$	3	1
F35 $\Delta(\frac{5}{2}^+)$	2	1
F37 $\Delta(\frac{7}{2}^+)$	1	1
21		10-12

Fig. 7 : Nonstrange baryons with $\nu = 2$, $P = +$ in 1980 \longleftrightarrow



The candidates were collected in ref. [1-1980] from the PDG tables valid in 1980 . At least then almost 50 % of the resonances so characterized were missing , using this way of counting .



5 – Epilogue and outlook

The present outline has its root in my discussion of the oscillatory modes of quarks in baryons in ref. [1-1980] , as well as the presentation of two hadron resonance collections used in ref. [23-2010] , worked out subsequently in a notefile similar to this one in ref. [24-2011] .

In comparing the two collections used in ref. [23-2010] , denoted $N_{\text{type}}=65 \supset N_{\text{type}}=26$, turn out both to be too small to account for the hadron abundances , as measured at RHIC and LHC , comparing with the Hadron Resonance Gas (HRG) approach (see e.g. ref. [25-2010]) , with noninteracting hadrons.

The detailed description of the collection of hadron resonances used in thermal fits to all , including RHIC and LHC hadron abundances is explicitly presented in ref. [26-2009] . The selection consists of including all hadron resonances in the PDG tables of 2012 [6-2012] and the review within the PDG tables [27-2012] .

Here we propose to consider the three light flavors of quark u , d , s , extending the modes discussed in ref. [1-1980] as a first step .

We define as final goal of the present study , based also on the 'Notes 22.12.2012 \longrightarrow 17.06.2013 in ref. [28-2012] to illustrate eventual new data relative to 1980 and the situation of missing states as it persists today according to the PDG particle tables . The recent inclusion of 1- and 2-star hadron resonances also used in evaluating the Hadron Resonance Gas states in heavy ion collisions [26-2009] , shall be scrutinized as well and compared with spectroscopic theoretical valence quark counting of oscillatory modes in hadrons. For now I wish

— to thank you for your attention —



A1-1

Appendix 1 - Expansion coefficients of the rescaling functions $\hat{\beta}, \gamma$ to four loops

$$-\beta/g = X B(X) ; B(X) = b_0 A(X)$$

$$B(X) \sim \sum_{n=0}^{\infty} b_n X^n , A(X) \sim \sum_{n=0}^{\infty} a_n X^n$$

$$\kappa = g^2 / (16 \pi^2) \text{ generic } X$$

(105)

$$b_0 = \frac{1}{3} (33 - 2 N_{fl}) , a_0 = 1 , a_n = b_n / b_0$$

$$b_1 = \frac{2}{3} (153 - 19 N_{fl})$$

$$b_2 = \frac{1}{54} (77139 - 15099 N_{fl} + 325 N_{fl}^2)$$

$$b_3 \sim 29243 - 6946.3 N_{fl} + 405.089 N_{fl}^2 + 1.49931 N_{fl}^3$$



A1-2

$$-\gamma_m^0 = 4, \quad -\gamma_m^1 = \frac{202}{3} - n_{fl} \frac{20}{9} \quad | \quad -\gamma_m^l \equiv \chi_m^l$$

$$-\gamma_m^2 = 1249 - \left[\frac{2216}{27} + \frac{160}{3} \zeta(3) \right] N_{fl} - \frac{140}{81} N_{fl}^2$$

$$-\gamma_m^3 = \left\{ \begin{aligned} & \left[\frac{4603055}{162} + \frac{135680}{27} \zeta(3) - 8800 \zeta(5) + \right. \\ & + \left. \left[-\frac{91723}{27} - \frac{34192}{9} \zeta(3) + 880 \zeta(4) + \frac{18400}{9} \zeta(5) \right] N_{fl} + \right. \\ & + \left. \left[\frac{5242}{243} + \frac{800}{9} \zeta(3) - \frac{160}{3} \zeta(4) \right] N_{fl}^2 + \right. \\ & + \left. \left[-\frac{332}{243} + \frac{64}{27} \zeta(3) \right] N_{fl}^3 \right\} \end{aligned} \right.$$

(106)



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